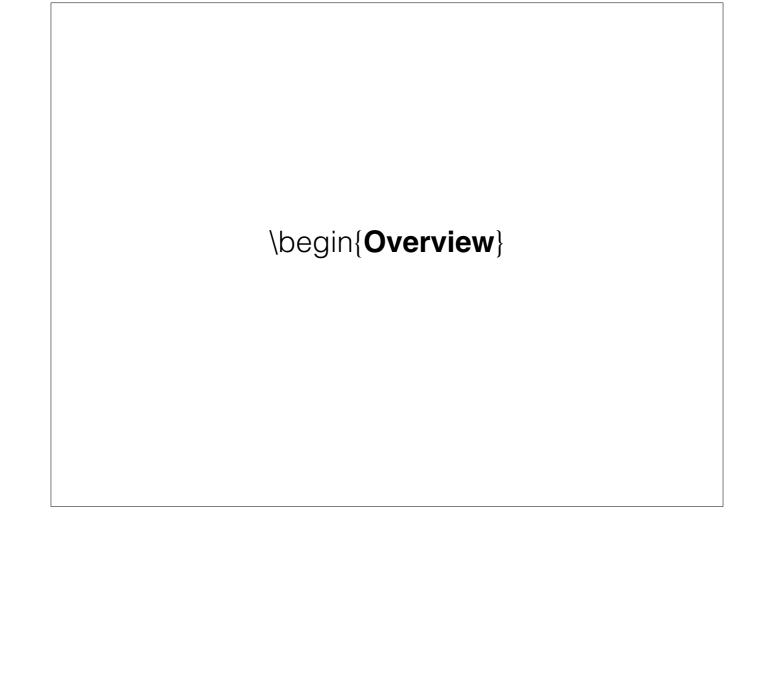
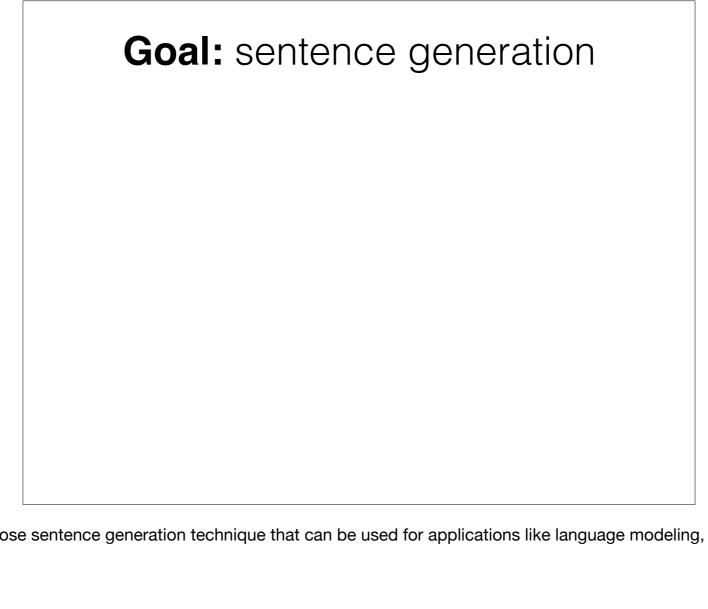
#### **Generating Sentences by Editing Prototypes**

Kelvin Guu\*, Tatsunori Hashimoto\*, Yonatan Oren, Percy Liang
TACL 2018, appeared at ACL 2018







# Goal: sentence generation

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  $\rightarrow$  y = "stocks fell by 2 percent"

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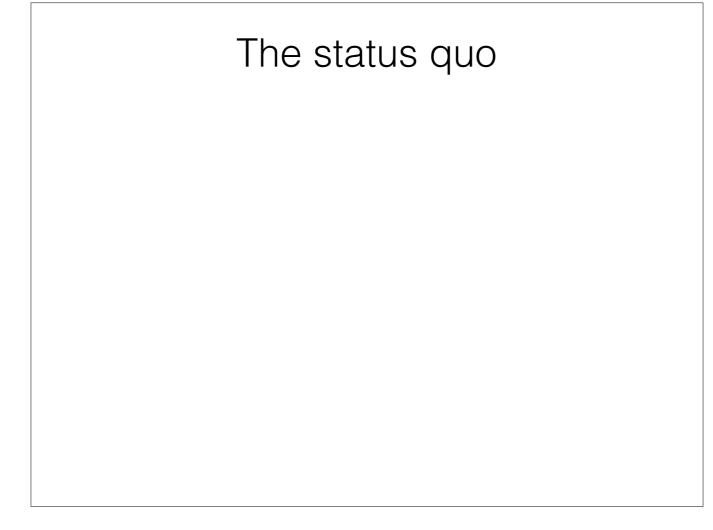
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$$x = \text{"how are you?"}$$
  $y = \text{"pretty good, you?"}$ 



Most existing methods are autoregressive models

- Namely, they generate a sentence from scratch, left to right, word by word

- researchers have found that they often tend to generate highly generic utterances, such as "I don't know" or "I'm sorry"
- And furthermore, there is no notion of semantic control over what gets generated.

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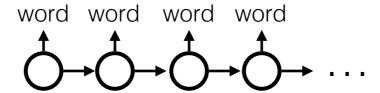
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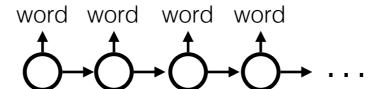


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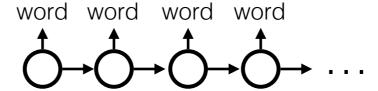
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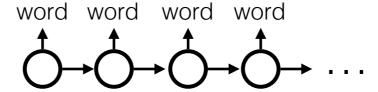
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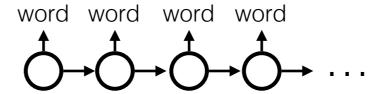
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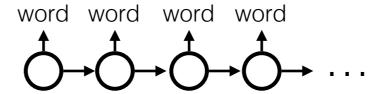
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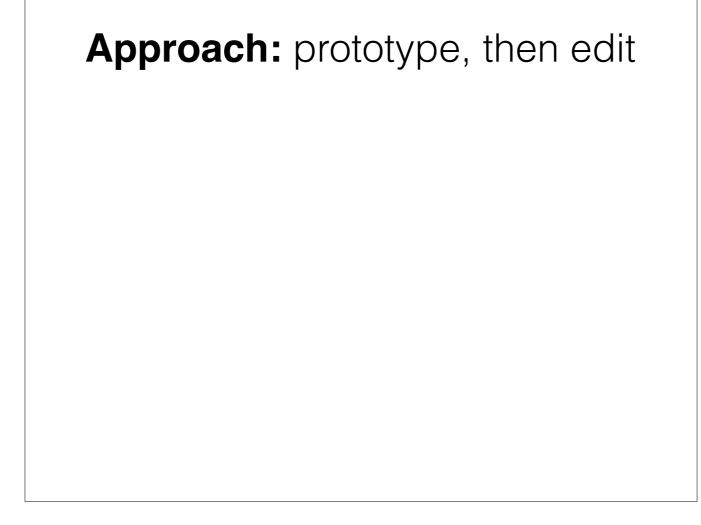
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In this new proposal, we don't generate a sentence from scratch.

Instead, we first grab a sentence from the training set. Let's call that a prototype. Then you use a sequence to sequence model to edit that sentence into a new sentence.

The prototype enables you to roughly control the semantics of your sentence. While the seq2seq editor injects additional variation.

# Approach: prototype, then edit

Overpriced, overrated, and tasteless food.

The food here is ok but not worth the price.

I definitely recommend this restaurante.

Sample from the training set

Prototype

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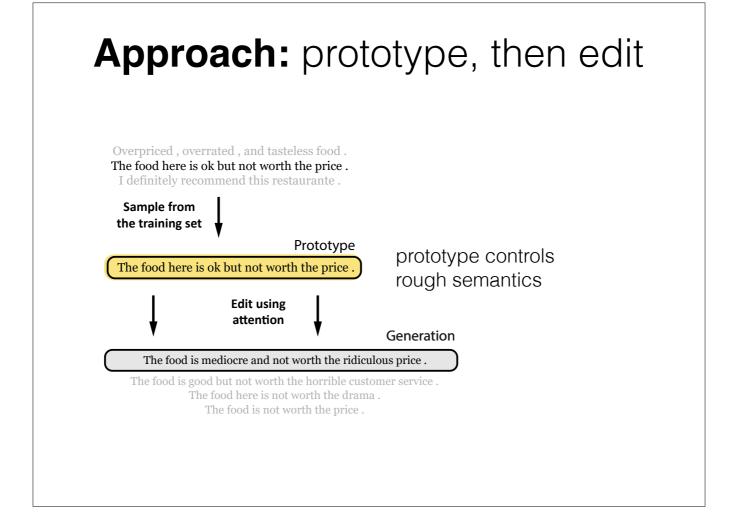
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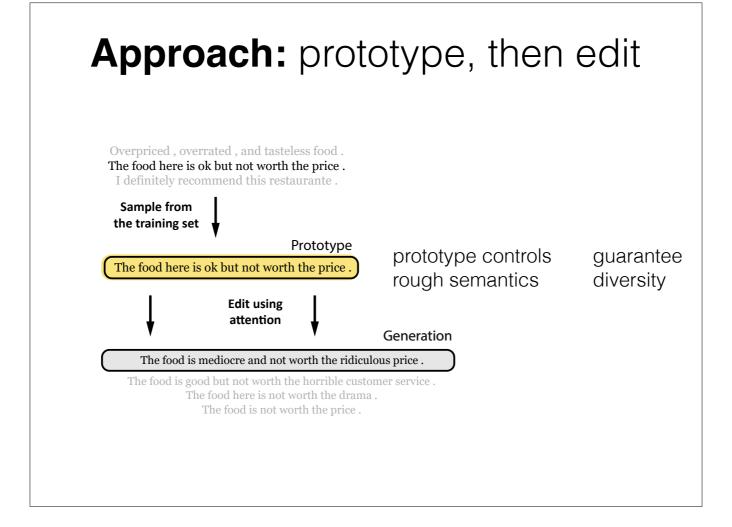
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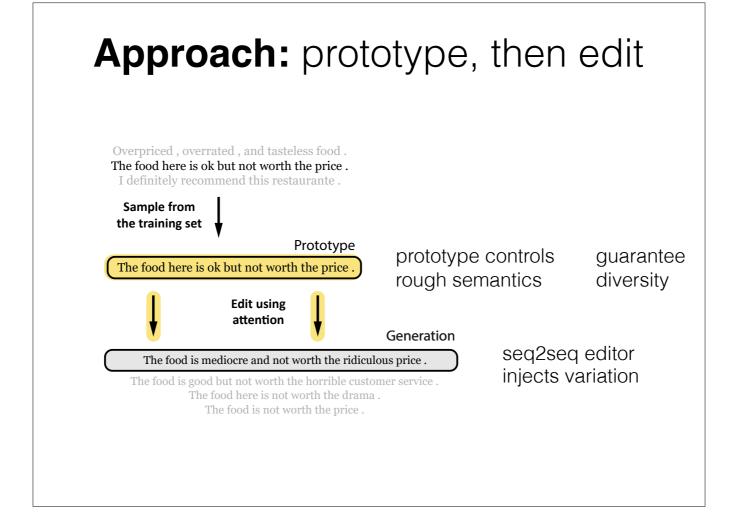
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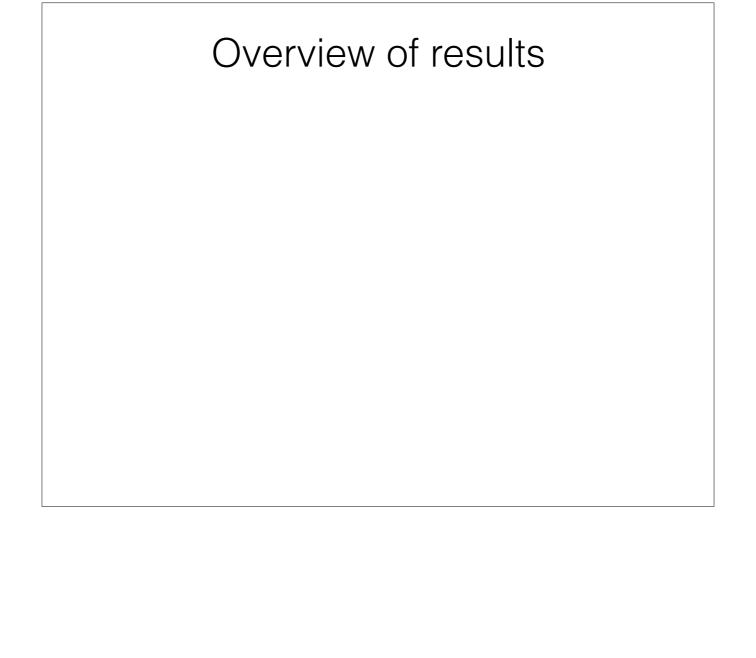
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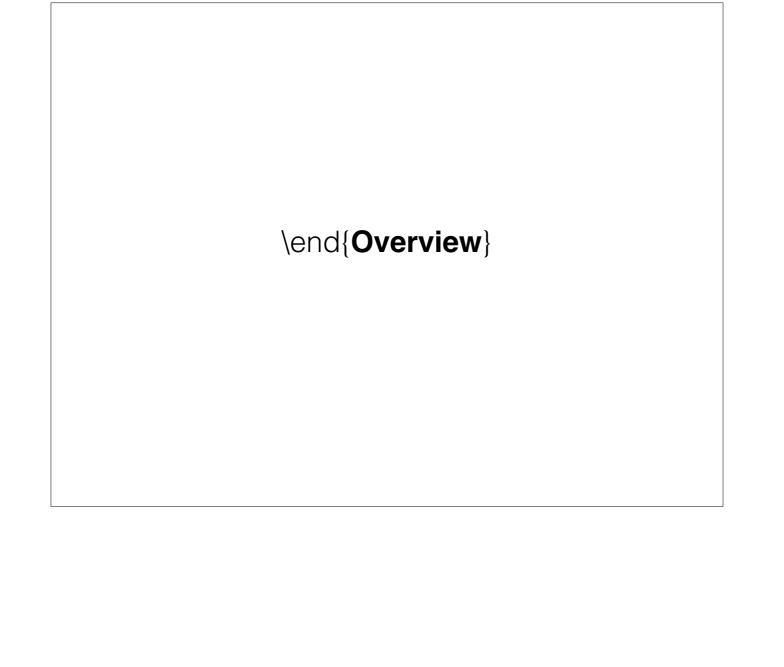
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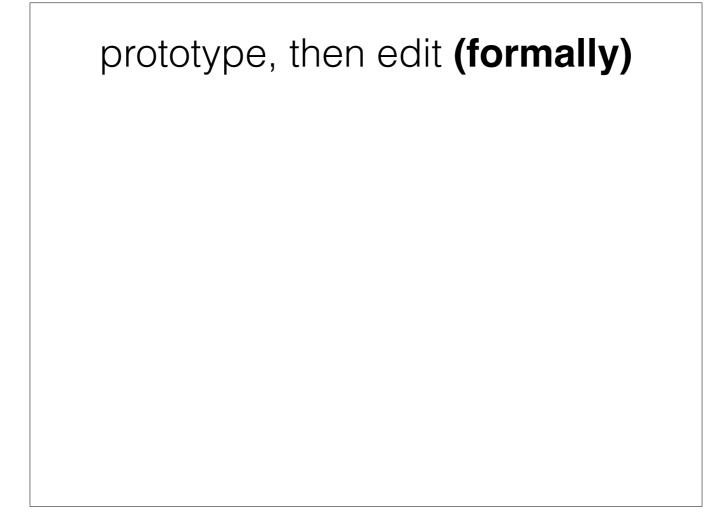
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Here is the new generation process we are proposing, in more formal detail.

First, we sample a prototype sentence from our training set, which I'll call  $z_p$  Then, we sample an edit vector,  $z_e$ , from some prior distribution over edits

And finally, we combine the prototype and edit vector to produce a new, edited sentence, y.

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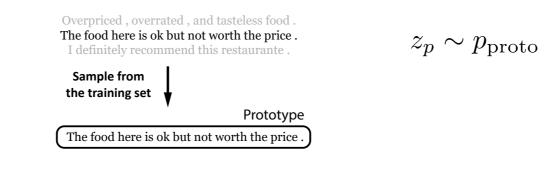
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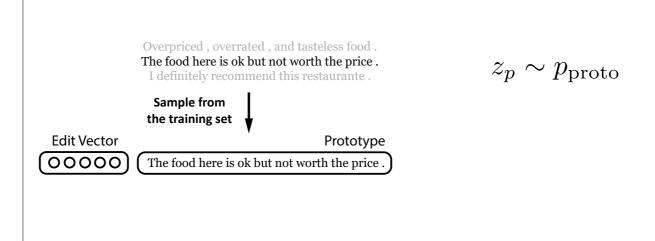


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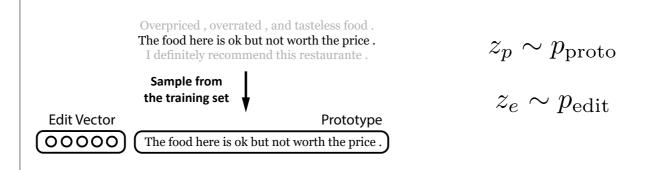


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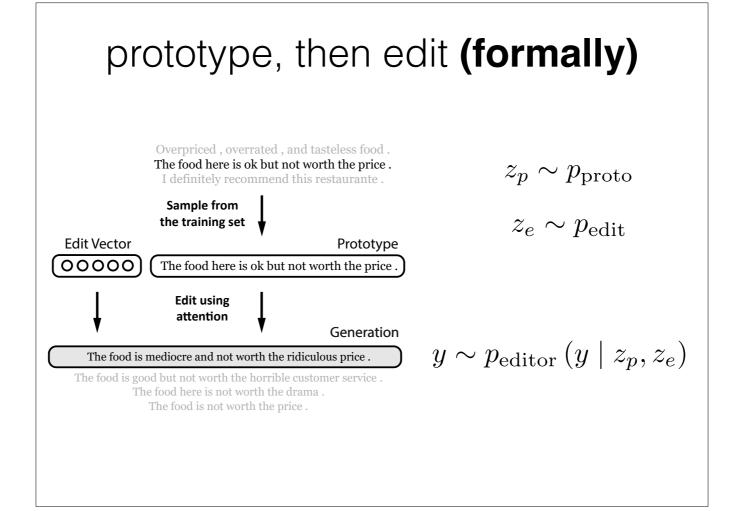
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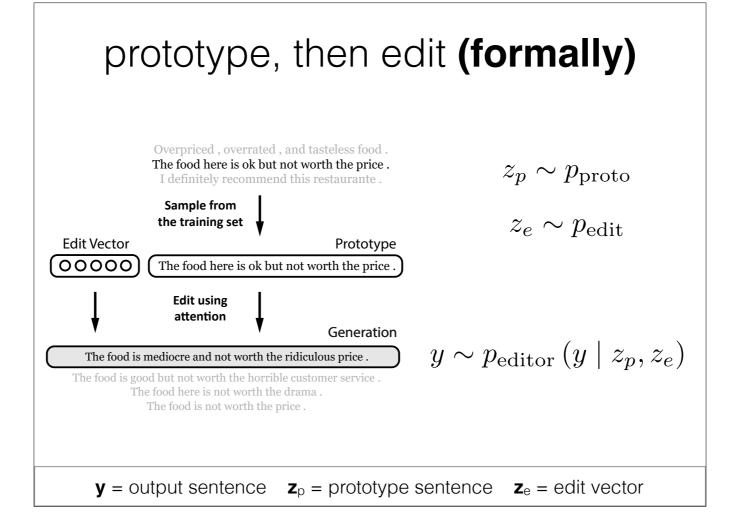
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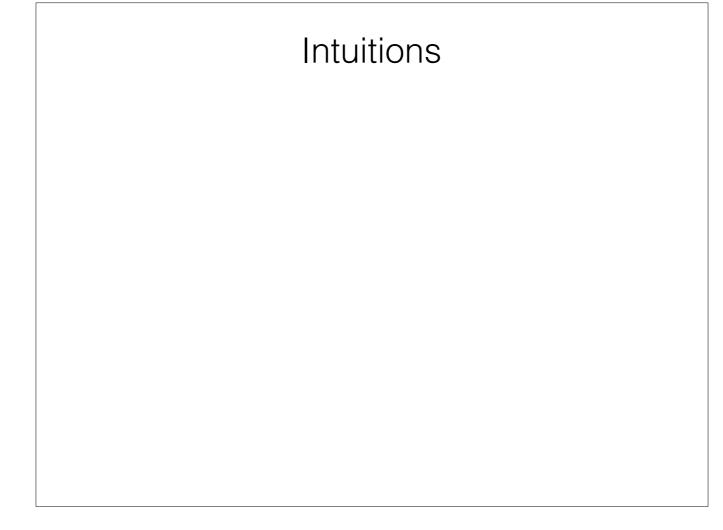
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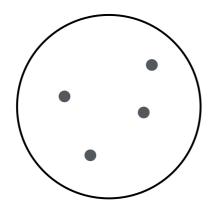
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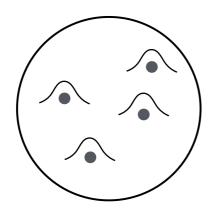
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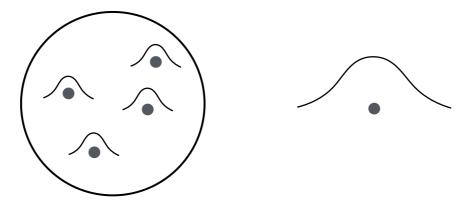
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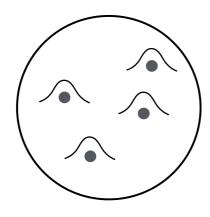
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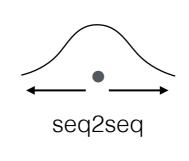
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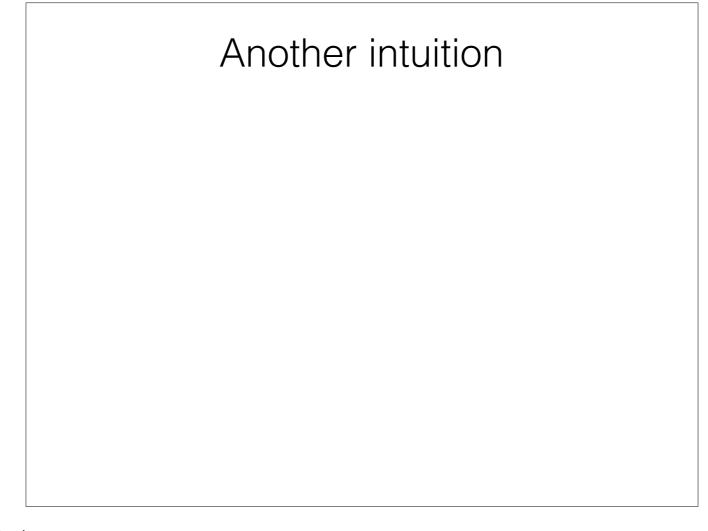
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Ray's comments echo the challenges people have encountered in trying to build models that look like this (left), where a single vector of meaning is meant to generate a sentence.

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## Another intuition



Professor of Computer Science The University of Texas at Austin

Finally, if none of those intuitions excited you...

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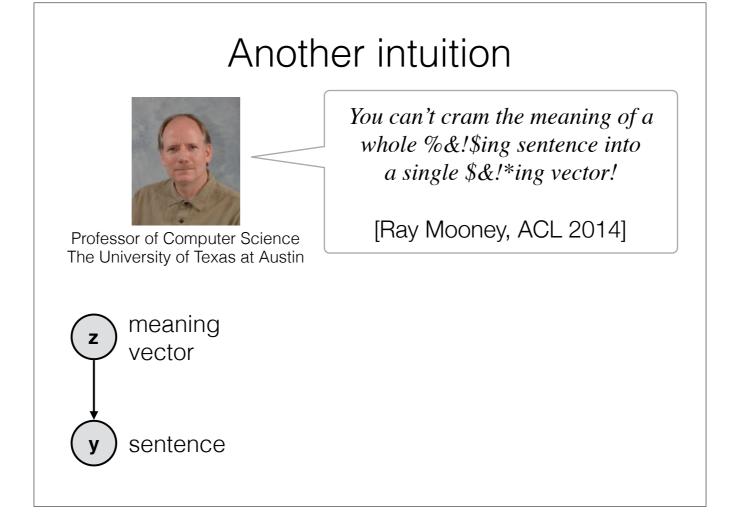
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[Ray Mooney, ACL 2014]

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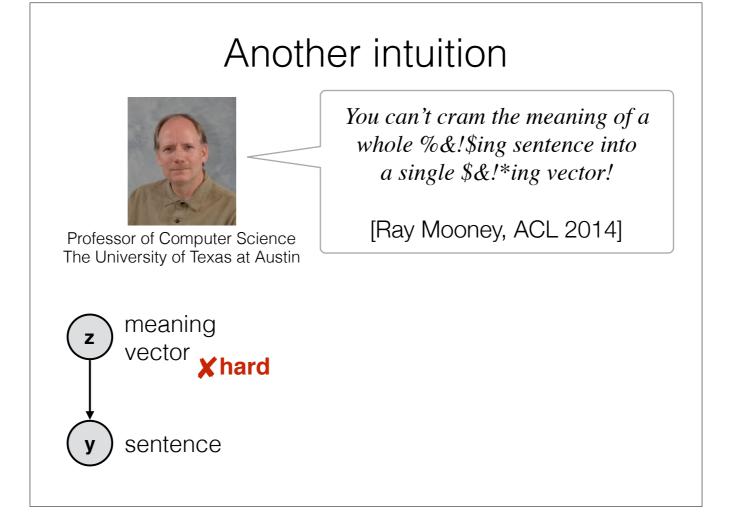
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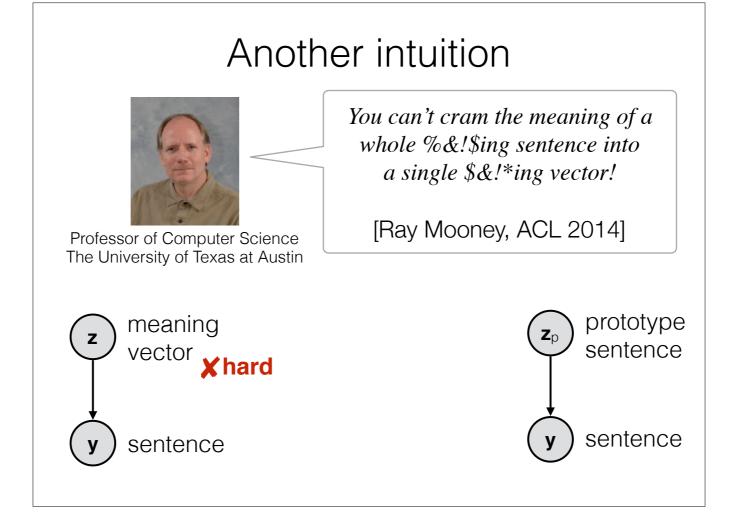
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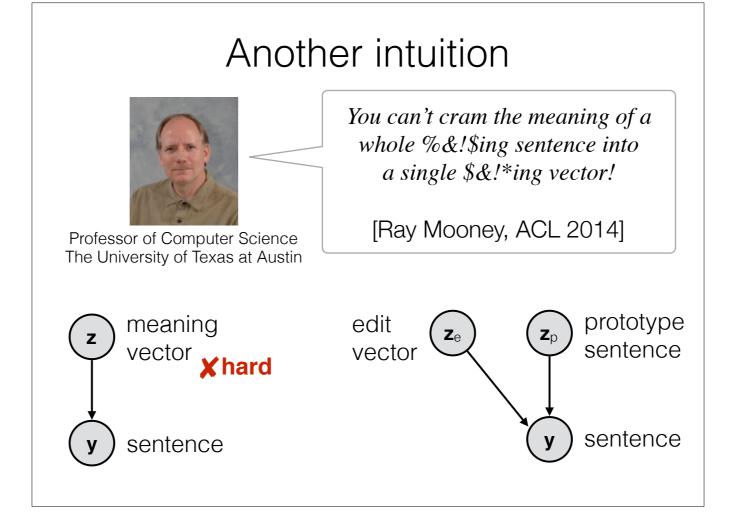
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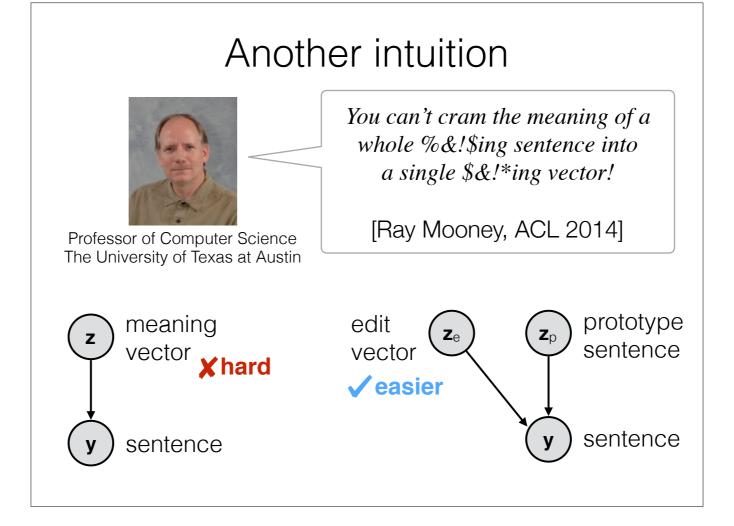
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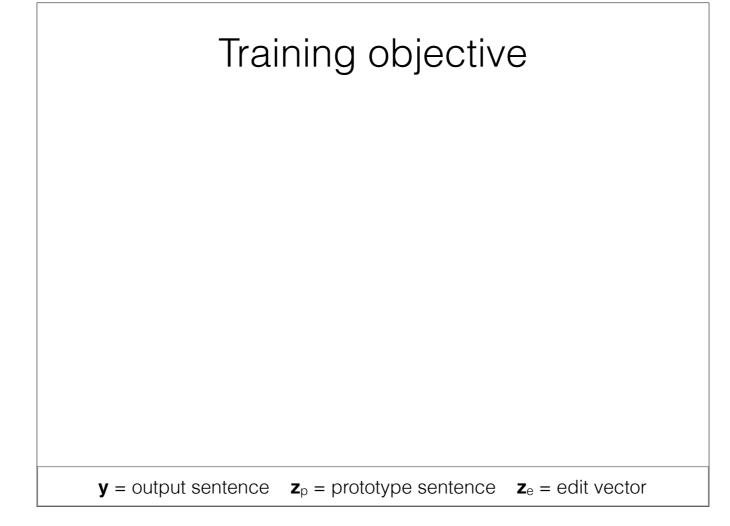
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The generative story we just saw gives us the following overall likelihood of a sentence

- First, we marginalize out over all prototypes that could have produced this sentence.
- And for each prototype, we marginalize out over all edit vectors that could have produced the resulting edit.

In the ideal world, we would then simply maximize the likelihood of this model

- But note that the summation over all prototypes in the training set is very expensive
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To deal with these two problems, we will be applying an important tool from variational inference, called the evidence lower bound

- We will use the ELBO, to derive a lower bound for each of these expressions
- We can then maximize the lower bound instead of the original objective

- be more computationally tractable
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- We can then maximize the lower bound instead of the original objective

- be more computationally tractable
- and it will actually bias the model towards more semantically meaningful edits

#### maximize

$$p\left(y\right) = \sum_{z_p} p\left(y\mid z_p\right) p_{\text{proto}}\left(z_p\right) \qquad \text{expensive}$$
 
$$\int_{z_e} p_{\text{editor}}\left(y\mid z_p, z_e\right) p_{\text{edit}}\left(z_e\right) dz_e$$

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key tool: **ELBO** (evidence lower bound)
[Dempster+ '77, Jordan+ '99, Kingma+ '13]

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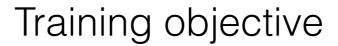
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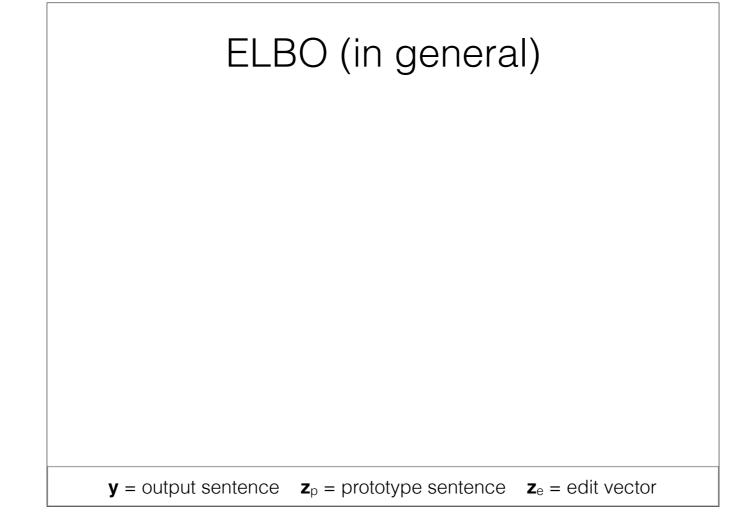
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To use the ELBO, let's first understand what it is.

If you have a log-likelihood function p(y)

And it involves a latent variable z

Then ELBO says you can lower bound the likelihood with this expression

I made the RHS grey because you don't need to look too hard at this right now

The only important thing to note about the RHS is that it introduces a new distribution q over the latent variable z When you use the ELBO, you get to **choose** what q is.

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 $\log p(y)$ 

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$$q\left(z\right)\approx p\left(z\mid y\right)$$

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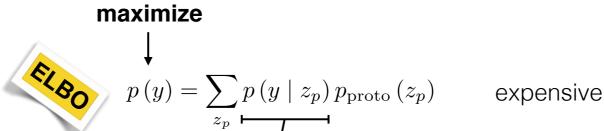
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So, let's start by applying the ELBO to our sum over prototypes.

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Note that Q is a distribution over prototype sentences. And the posterior is a distribution over prototypes, given the output y.

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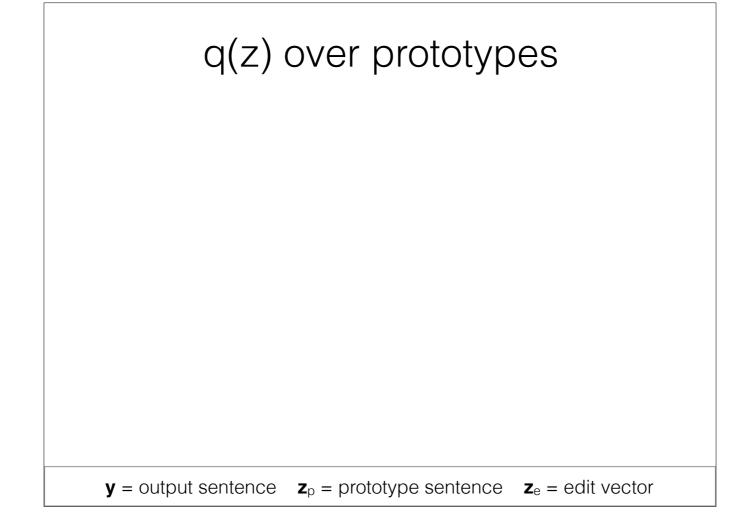
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In plain English, the posterior  $p(z \mid y)$  asks the following question:

- If I see a sentence y, what prototype zp did it come from?

The answer I propose is that zp should probably be something no too different from y.

In particular, zp should probably be in some neighborhood of y where the neighborhood is all sentences with high token overlap and token overlap is measured by a threshold on Jaccard distance

### Question

$$q\left(z_{p}\right)\approx p\left(z_{p}\mid y\right)$$

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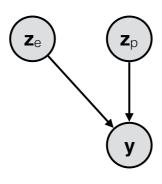
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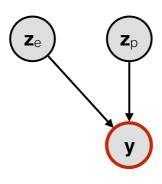
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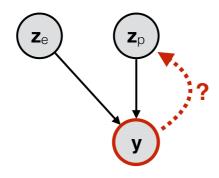
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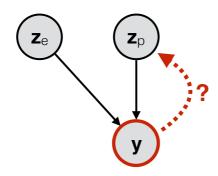
In particular, zp should probably be in some neighborhood of y where the neighborhood is all sentences with high token overlap and token overlap is measured by a threshold on Jaccard distance

### Question

### $q\left(z_{p}\right)\approx p\left(z_{p}\mid y\right)$

#### Answer

prototype  $\mathbf{z}_p$  was probably not too different from  $\mathbf{y}$ .



 $\mathbf{y} = \text{output sentence}$   $\mathbf{z}_p = \text{prototype sentence}$   $\mathbf{z}_e = \text{edit vector}$ 

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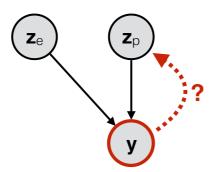


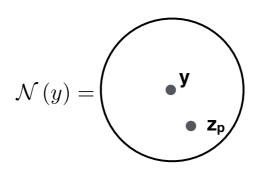
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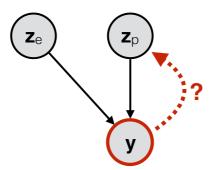
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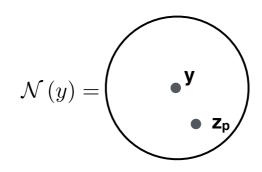
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**N(y)** = all sentences with high token overlap

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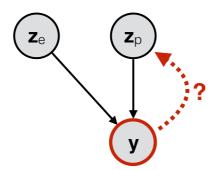
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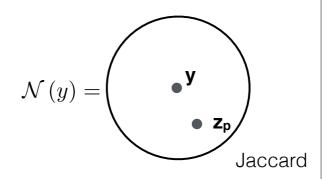
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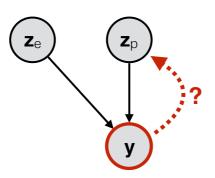
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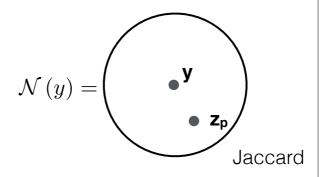
$$q\left(z_{p}\right)\approx p\left(z_{p}\mid y\right)$$



#### Answer

prototype  $\mathbf{z}_p$  was probably not too different from  $\mathbf{y}$ .

$$q(z_p) := \operatorname{Uniform}(\mathcal{N}(y))$$



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ELBO = 
$$\sum_{z_{p}} \log p(y \mid z_{p}) q(z_{p}) - KL(q(z_{p}) \parallel p_{\text{proto}}(z_{p}))$$

 $\mathbf{y} = \text{output sentence}$   $\mathbf{z}_p = \text{prototype sentence}$   $\mathbf{z}_e = \text{edit vector}$ 

When we plug this choice of q into the evidence lower bound, we get the following much simpler expression

This looks like a typical seq2seq objective, where we are mapping each prototype in the neighborhood of y, to the sentence y

It's now clear that this particular choice of q encourages the editor to make small edits, since the sentence pairs are not that difference

Furthermore, as we know, seq2seq training is computationally tractable using SGD

$$\begin{aligned} \mathsf{ELBO} &= \sum_{z_p} \log p\left(y \mid z_p\right) q\left(z_p\right) - \mathit{KL}\left(q\left(z_p\right) \parallel p_{\mathrm{proto}}\left(z_p\right)\right) \\ & \mathsf{II} \\ & \frac{1}{|\mathcal{N}\left(y\right)|} \sum_{z_p \in \mathcal{N}\left(y\right)} \log p\left(y \mid z_p\right) + C \end{aligned}$$

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prototype 
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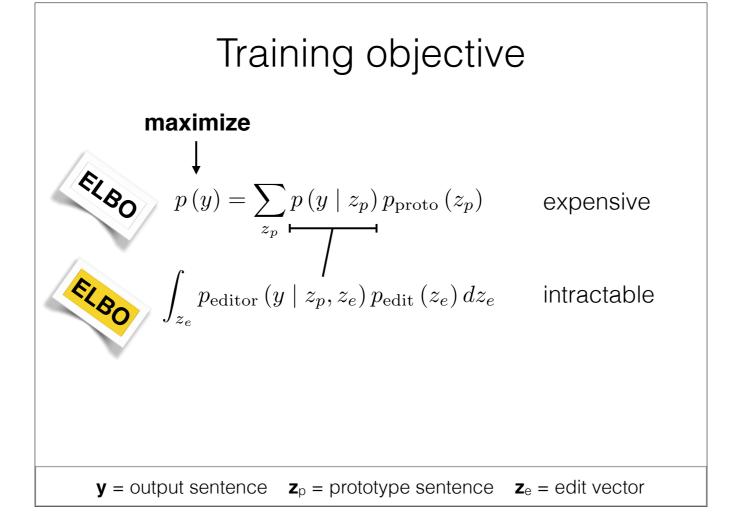
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So that handles the first evidence lower bound.

Now, let's go to the second ELBO. This time, we want to handle the integral over edit vectors.



 $\log p\left(y\mid z_p\right)$ 

 $\mathbf{y} = \text{output sentence}$   $\mathbf{z}_p = \text{prototype sentence}$   $\mathbf{z}_e = \text{edit vector}$ 

Applying the ELBO, we get the following bound

### ELBO on edit vectors

$$\log p\left(y\mid z_p\right)$$

$$\geq E_{z_e \sim q(z_e)} \left[ \log p_{\text{editor}} \left( y \mid z_p, z_e \right) \right] - KL \left( q \left( z_e \right) \| p_{\text{edit}} \left( z_e \right) \right)$$

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 reconstruction\_cost

 $\mathbf{y} = \text{output sentence}$   $\mathbf{z}_p = \text{prototype sentence}$   $\mathbf{z}_e = \text{edit vector}$ 

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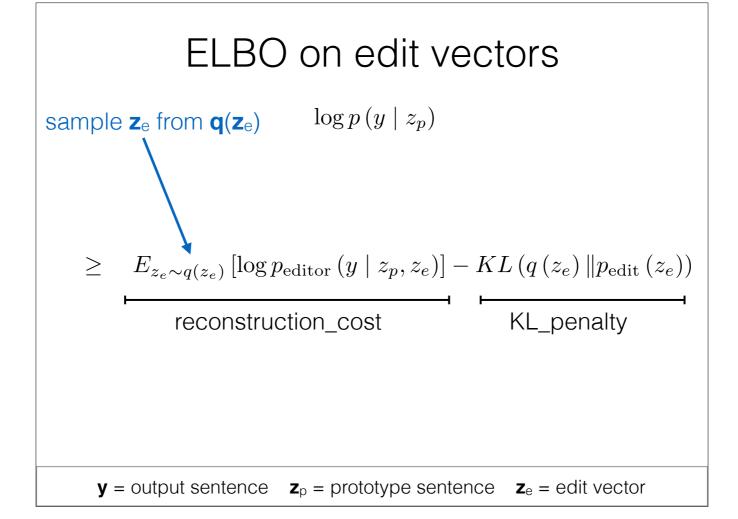
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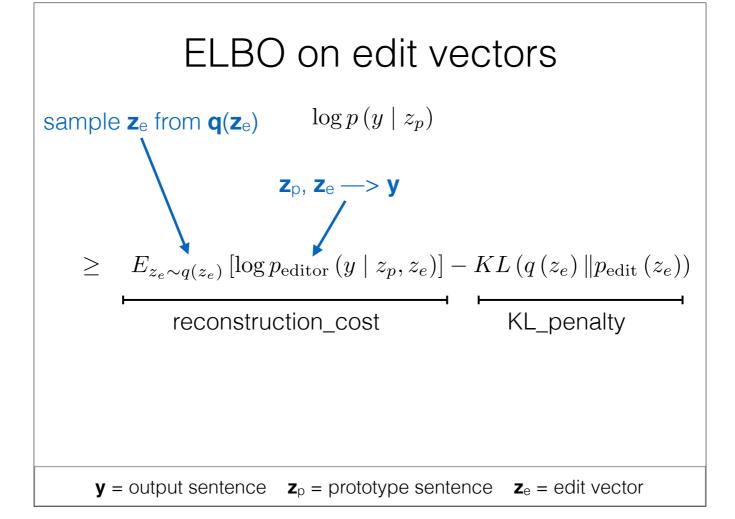
$$\log p\left(y\mid z_p\right)$$

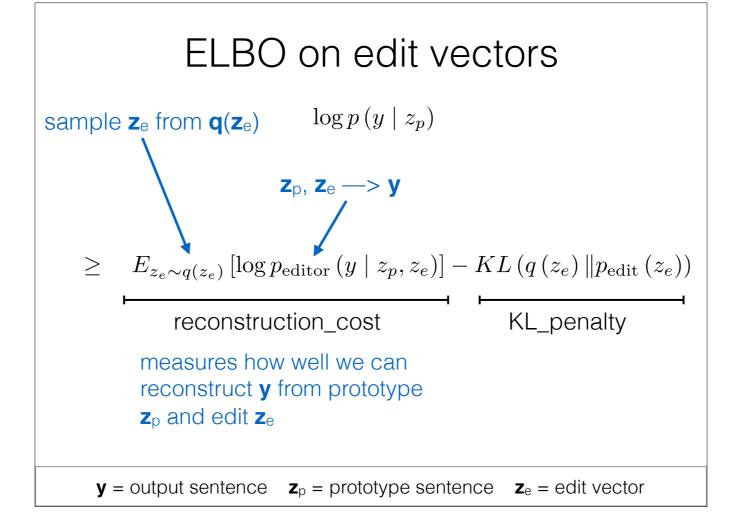
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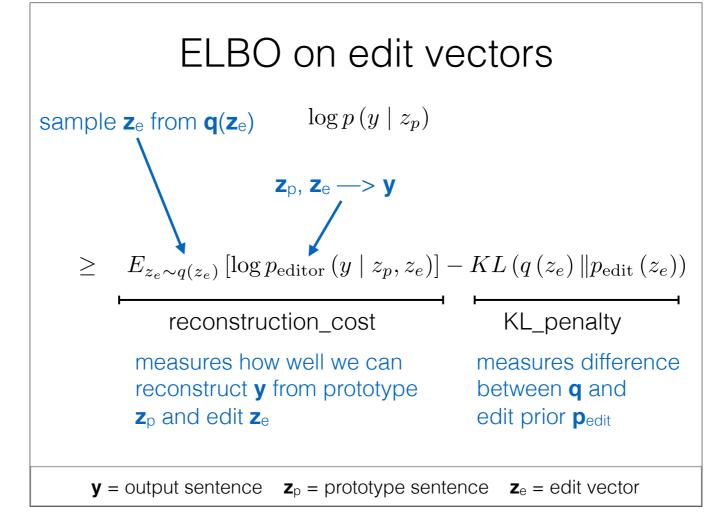
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Applying the ELBO, we get the following bound

This expression is rather large, and warrants some explaining.

What matters is that there are two terms, which we can call the reconstruction cost and the KL penalty.

As before, we need to choose a good definition of q. This time, it needs to match the posterior distribution over edit vectors. Again, let's meditate on what this posterior looks like.

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 ?

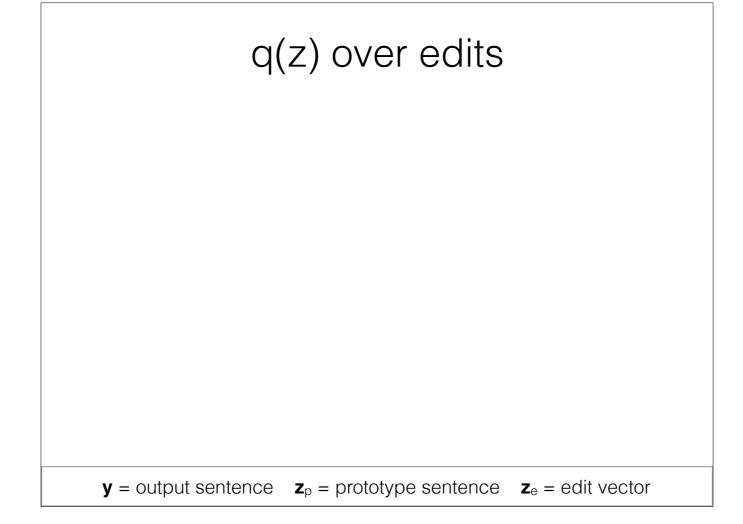
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In plain English, the posterior is saying:

#### Question

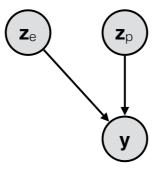
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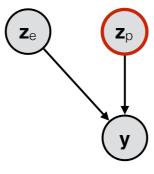


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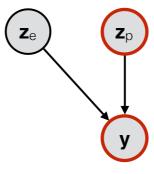


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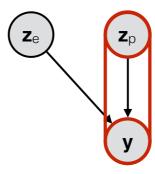


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#### Question

$$q\left(z_{e}\right) pprox p\left(z_{e} \mid y, z_{p}\right)$$

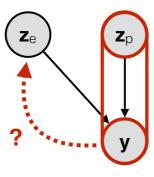


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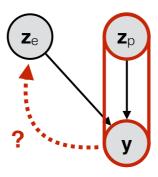
### $q\left(z_{e}\right) pprox p\left(z_{e} \mid y, z_{p}\right)$

#### **Answer**

Compare the two sentences.

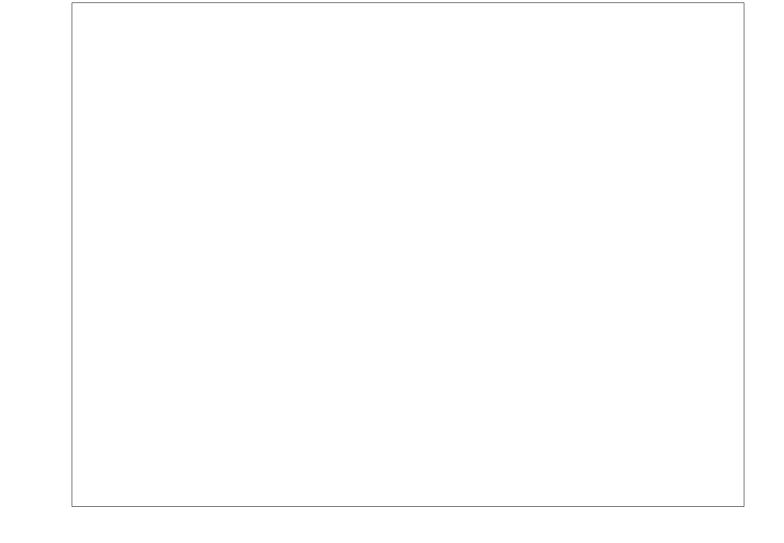
Figure out which words were inserted and deleted.

Then sum their word vectors.

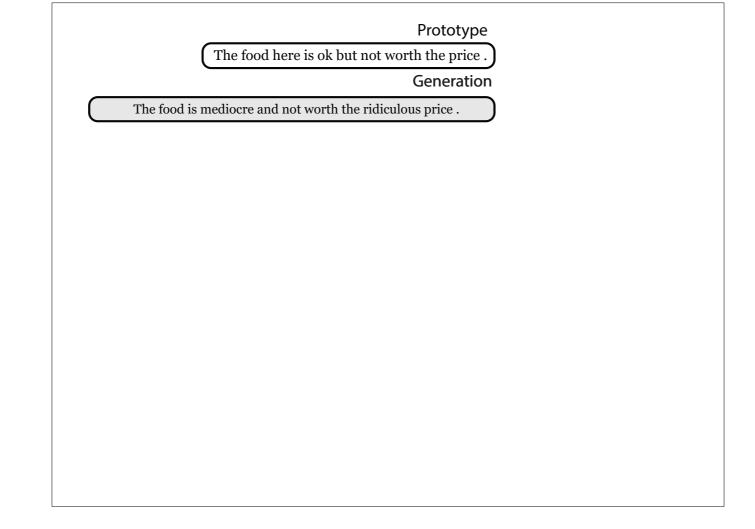


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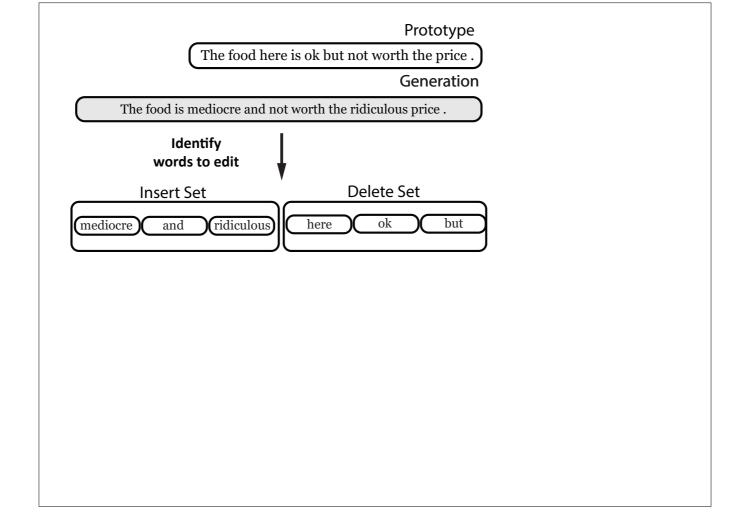
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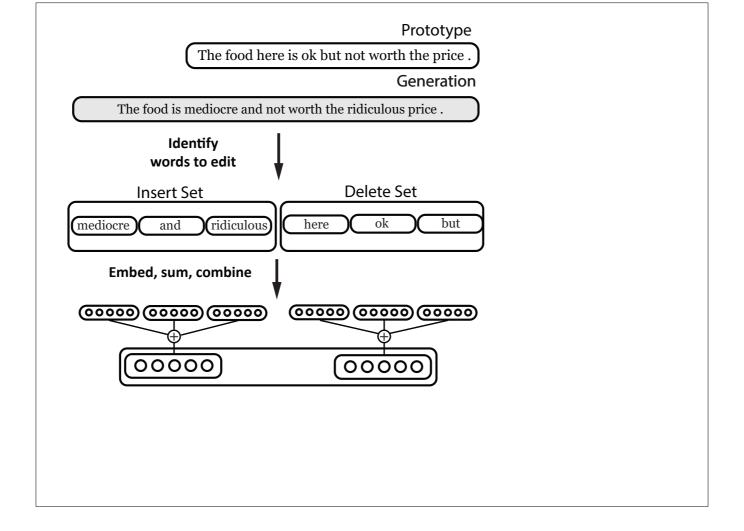
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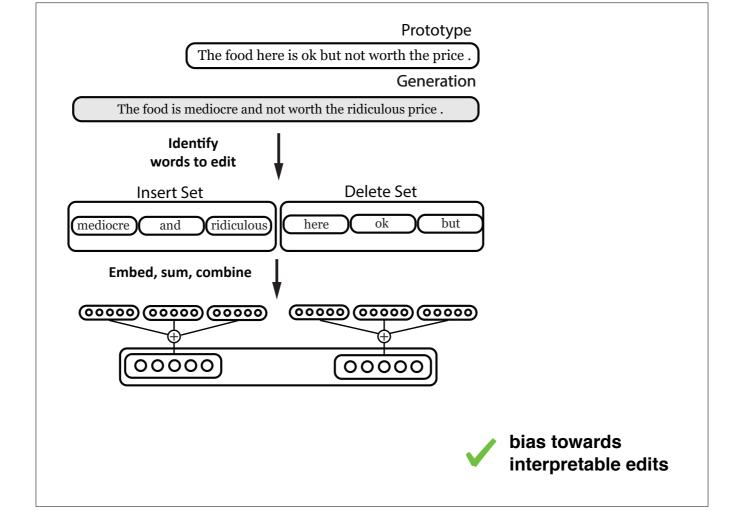
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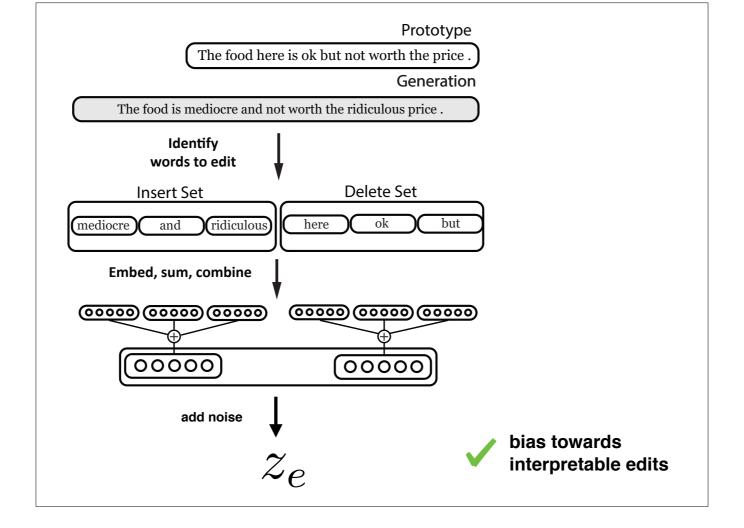
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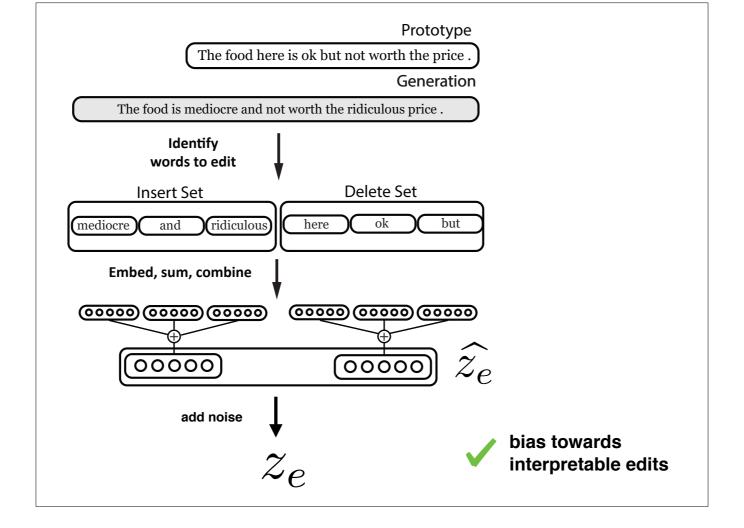
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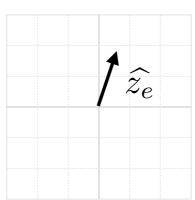


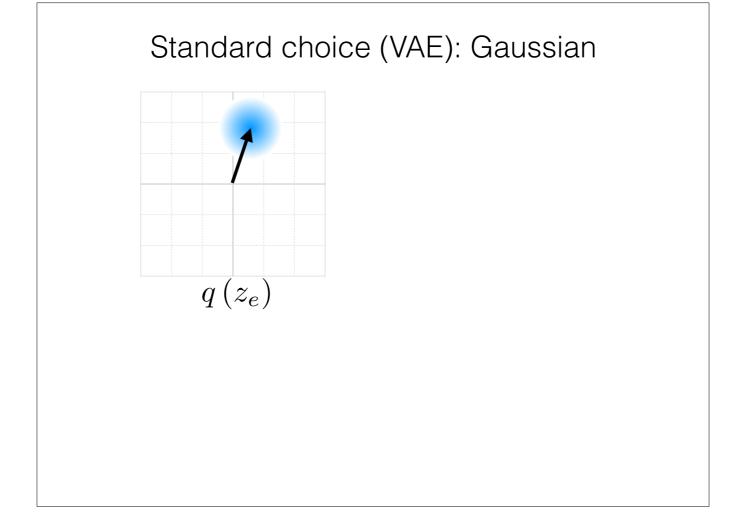
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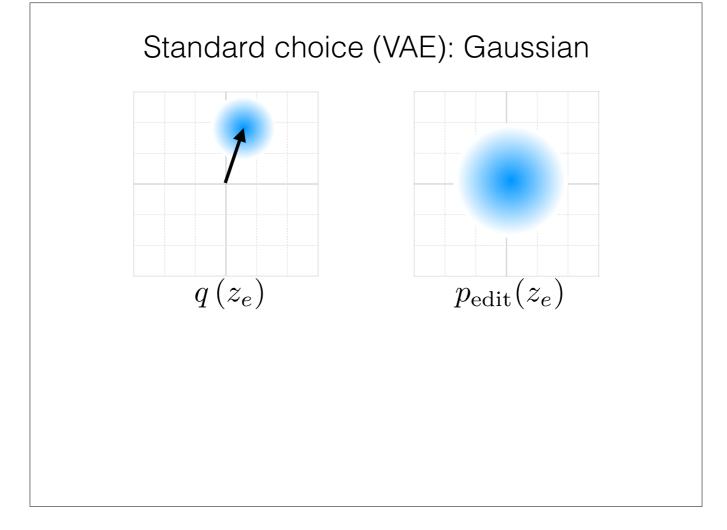


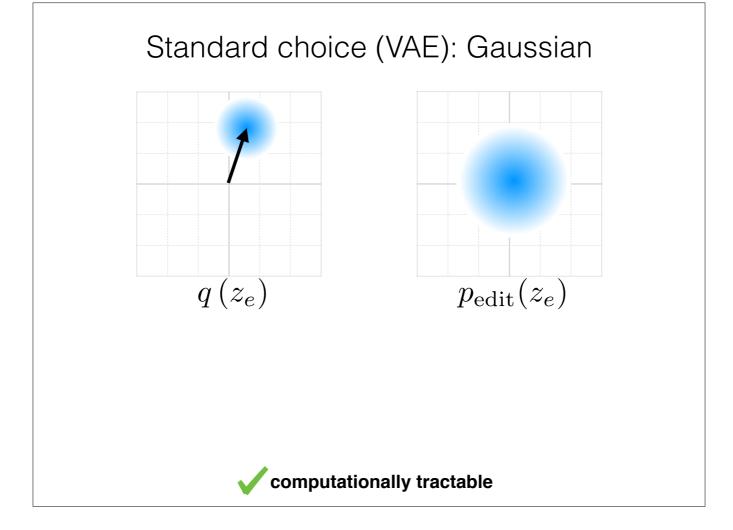
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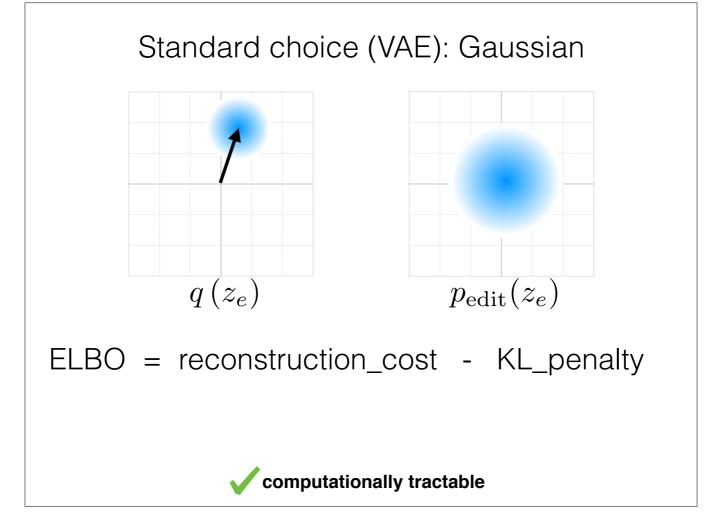
How to add noise to  $\widehat{z_e}$ ?

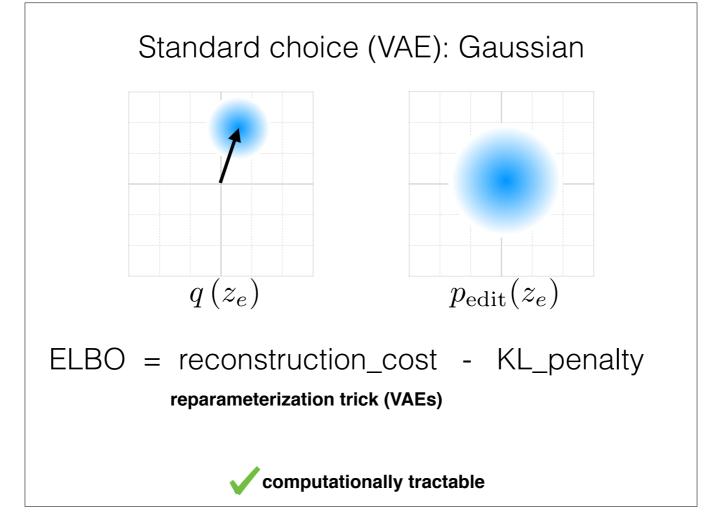


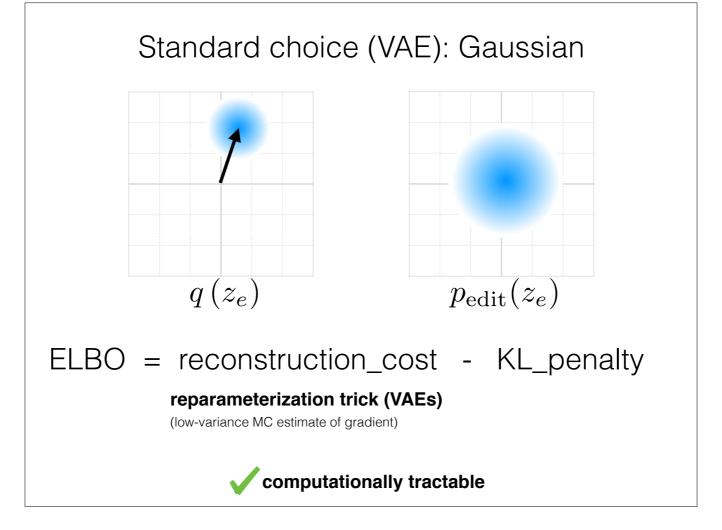


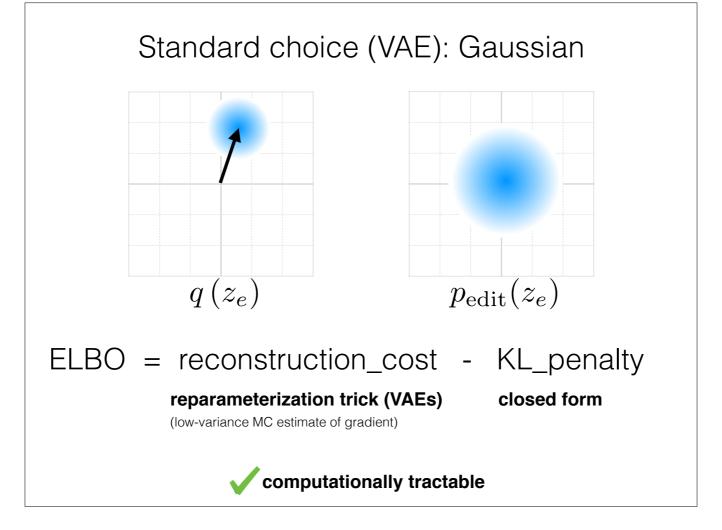


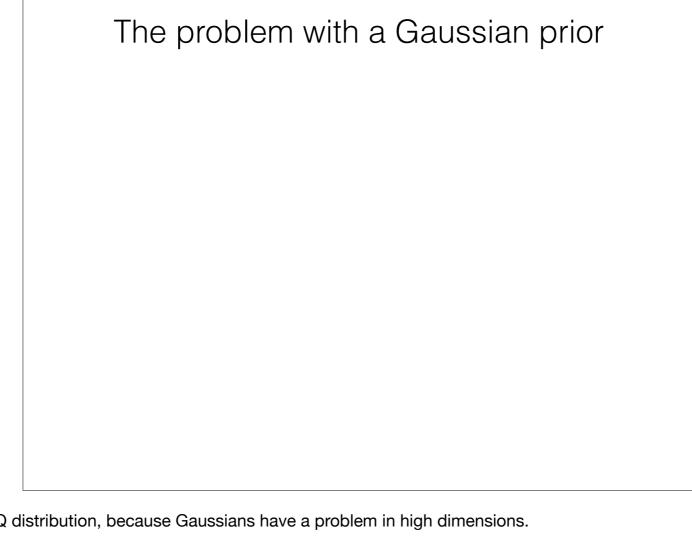












In the low dimensional picture, this Gaussian seems well-behaved.

Most of the mass is near the origin, implying that we prefer small edit vectors.

In contrast, a high dimensional Gaussian would look more like this, where most of the probability mass is concentrated on a thin shell.

In fact, it is known that if you sample a point from a Gaussian, its distance from the center follows a Chi distribution.

I've plotted the chi distribution for different dimensions.

Recall that our edit vector is the sum of word vectors.

This means we are imposing a very heavy prior that the edit should change no more than 12 and no less than 8 words.

# The problem with a Gaussian prior low-dim Gaussian $p_{\rm edit}(z_e)$

But we chose not to use a Gaussian Q distribution, because Gaussians have a problem in high dimensions.

In the low dimensional picture, this Gaussian seems well-behaved. Most of the mass is near the origin, implying that we prefer small edit vectors.

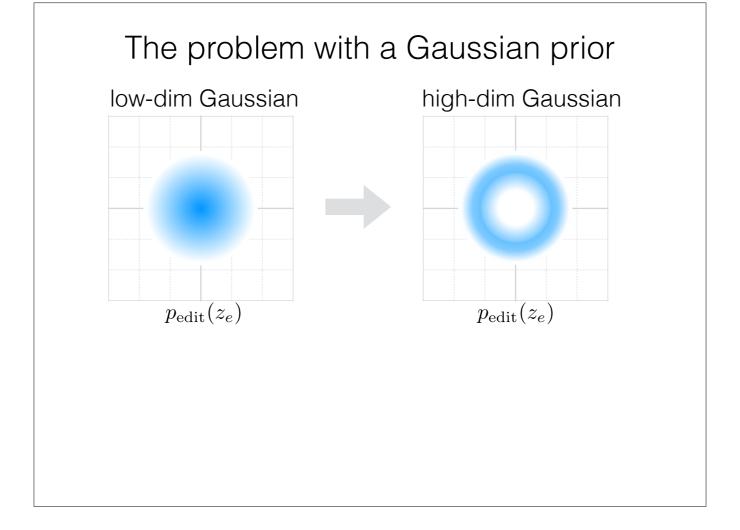
In contrast, a high dimensional Gaussian would look more like this, where most of the probability mass is concentrated on a thin shell.

In fact, it is known that if you sample a point from a Gaussian, its distance from the center follows a Chi distribution.

I've plotted the chi distribution for different dimensions.

Recall that our edit vector is the sum of word vectors.

This means we are imposing a very heavy prior that the edit should change no more than 12 and no less than 8 words.



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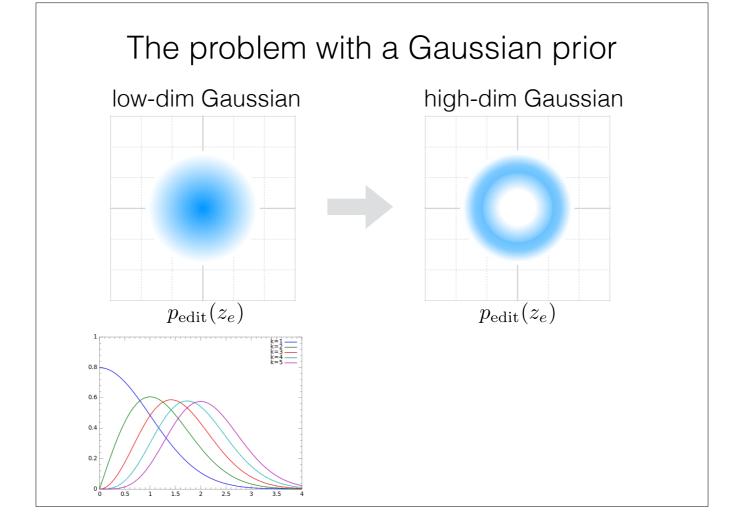
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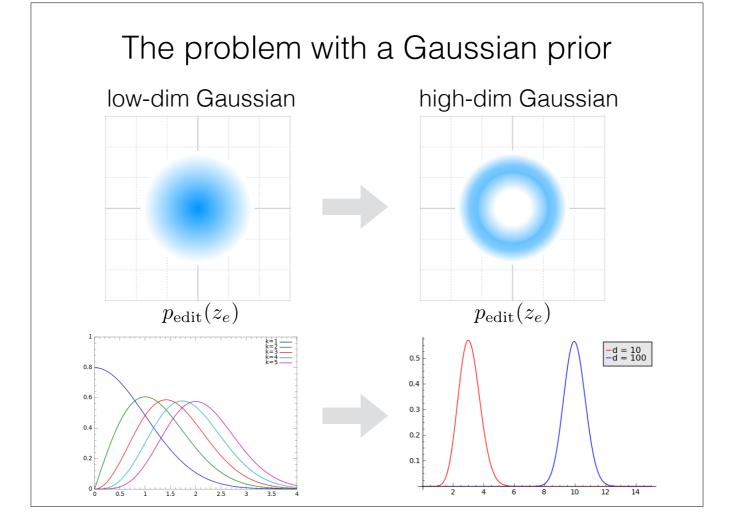
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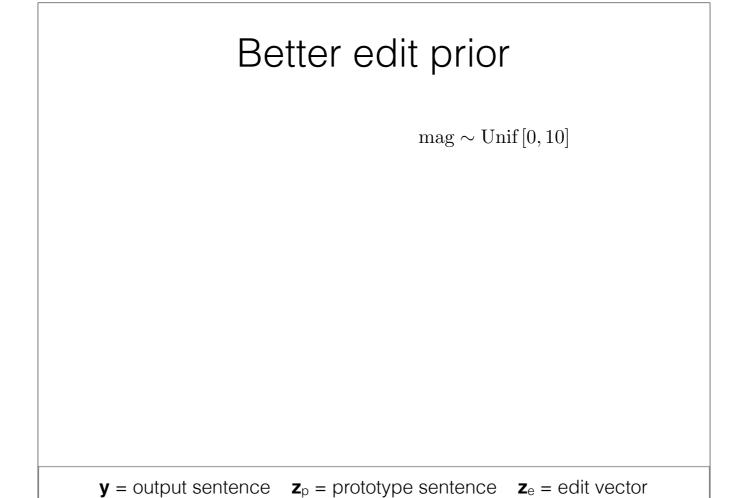
This means we are imposing a very heavy prior that the edit should change no more than 12 and no less than 8 words.



To fix this problem...

First, we'll propose a different edit prior, which explicitly encodes a uniform distribution over the magnitude of the edit vector.

Then, we need to define a  $q(z_e)$  that is compatible with this edit prior. We will see how to do that next.



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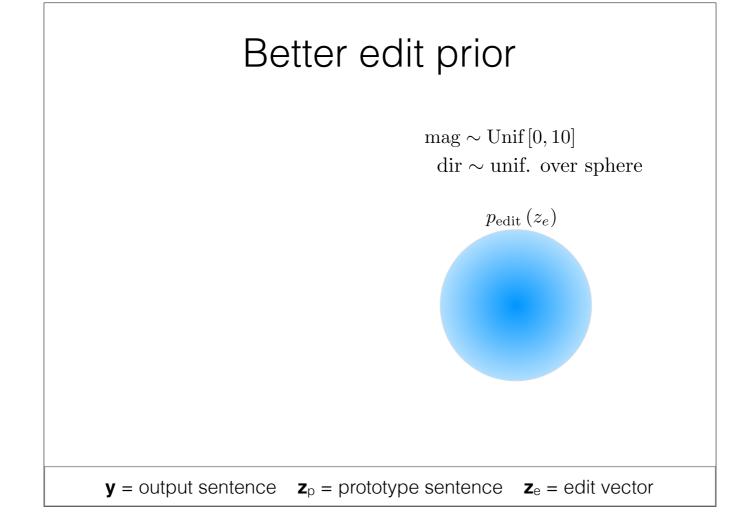


 $mag \sim Unif [0, 10]$ dir  $\sim unif.$  over sphere

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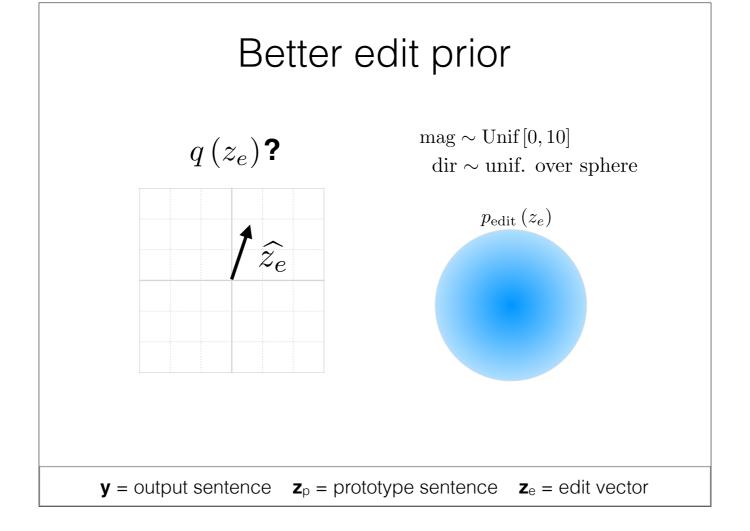
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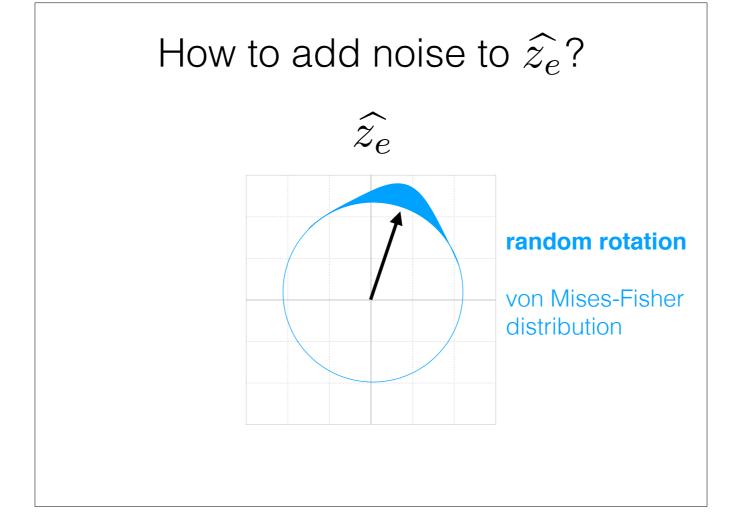


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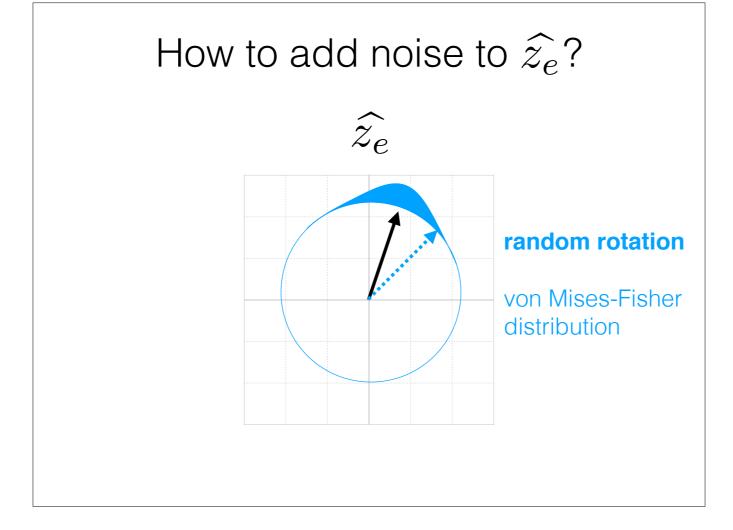
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# How to add noise to $\widehat{z_e}$ ?

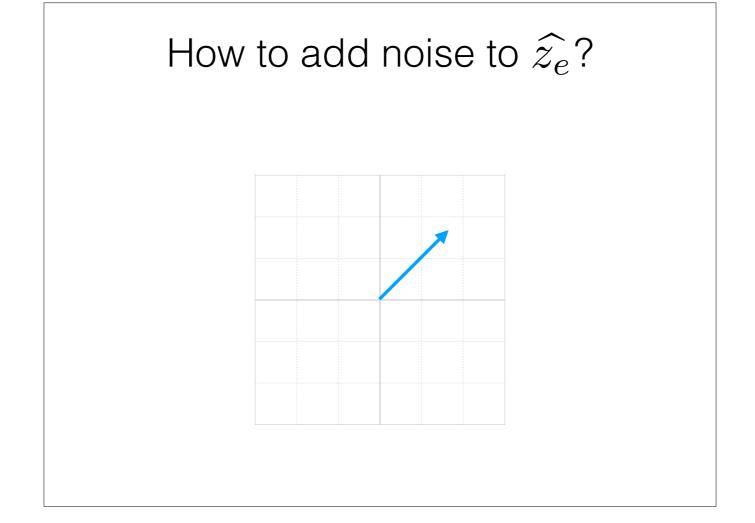
Starting with  $z_e$  hat, we first randomly rotate it, using a von Mises Fisher distribution.



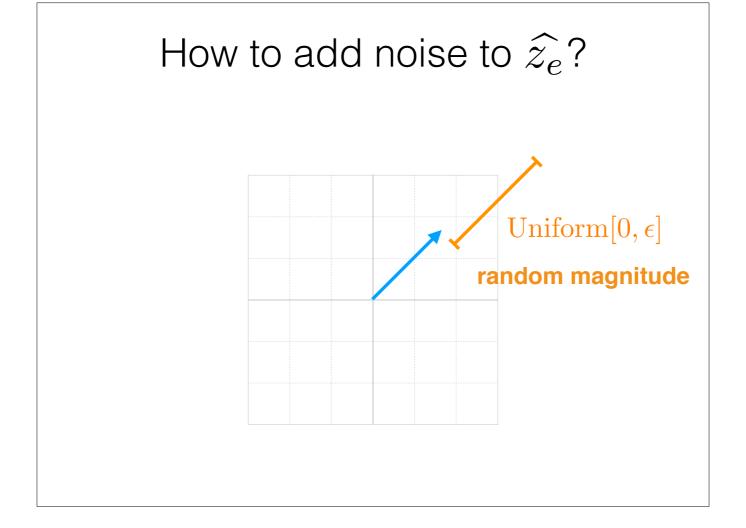
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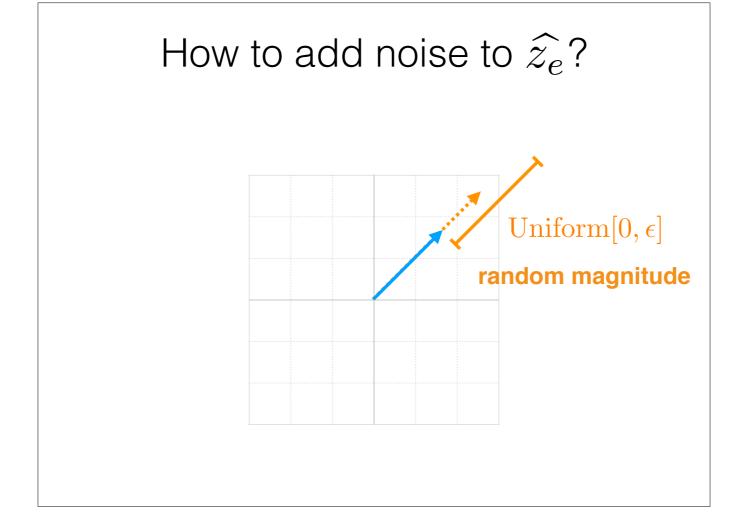
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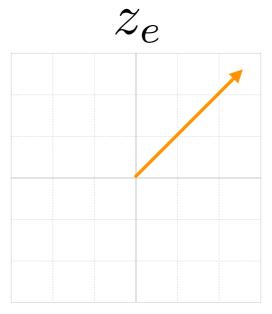


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How to add noise to  $\widehat{z_e}$ ?





And we will choose our edit prior to look like this, so that it actually concentrates mass near the origin in particular, we'll draw a random magnitude uniform 0, 10 (guaranteeing spread out magnitudes) and we'll draw a direction uniformly over the sphere

This new Q function still has all the nice properties that the standard Gaussian would give you



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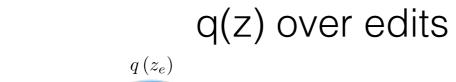
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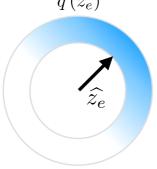
## q(z) over edits $q\left(z_{e}\right)$ $\operatorname{dir} \sim \operatorname{vMF}\left(\widehat{\operatorname{dir}}, \kappa\right)$

To sum that up, we now have a q distribution which looks like this (left) the magnitude is just a uniform perturbation of zhat's magnitude and the direction is just a VMF perturbation of zhat's direction

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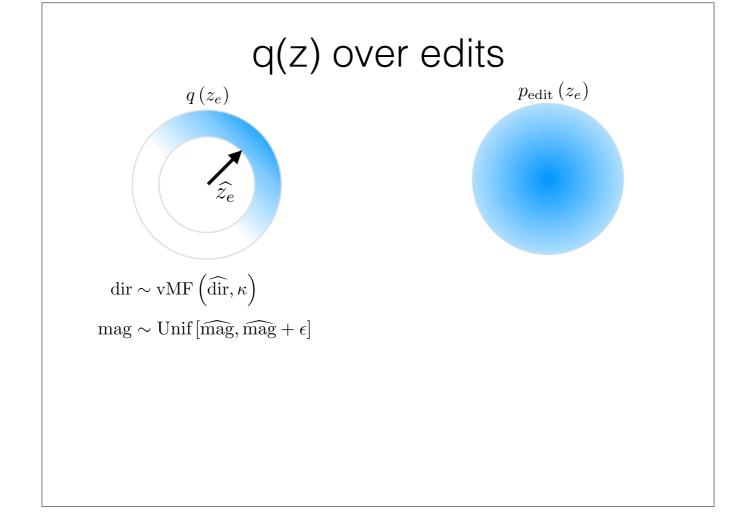


$$\operatorname{dir} \sim \operatorname{vMF}\left(\widehat{\operatorname{dir}}, \kappa\right)$$

$$\mathrm{mag} \sim \mathrm{Unif}\left[\widehat{\mathrm{mag}}, \widehat{\mathrm{mag}} + \epsilon\right]$$

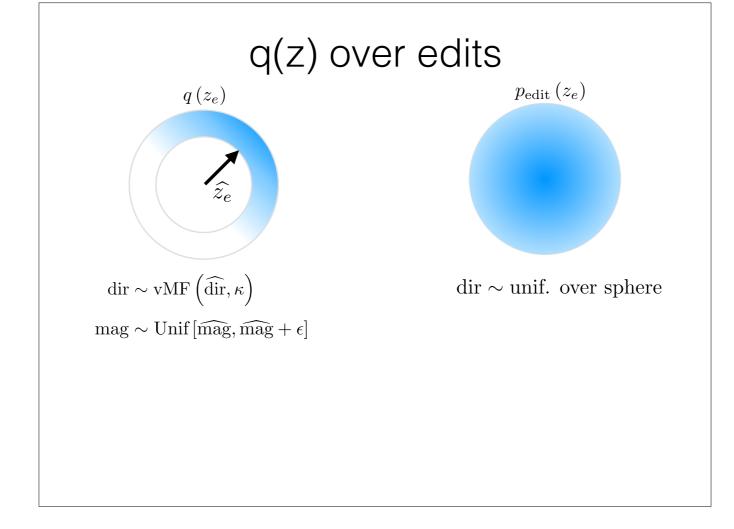
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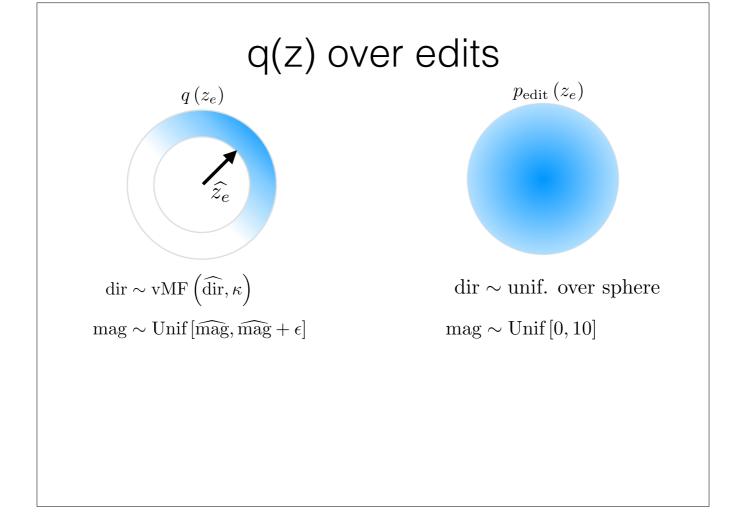
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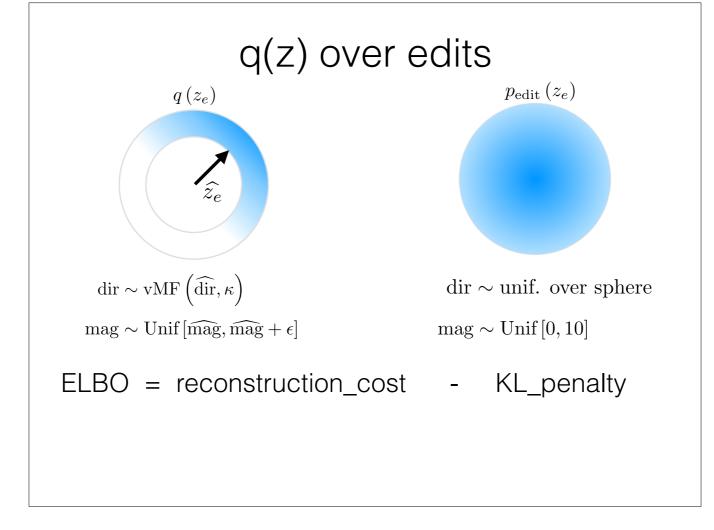
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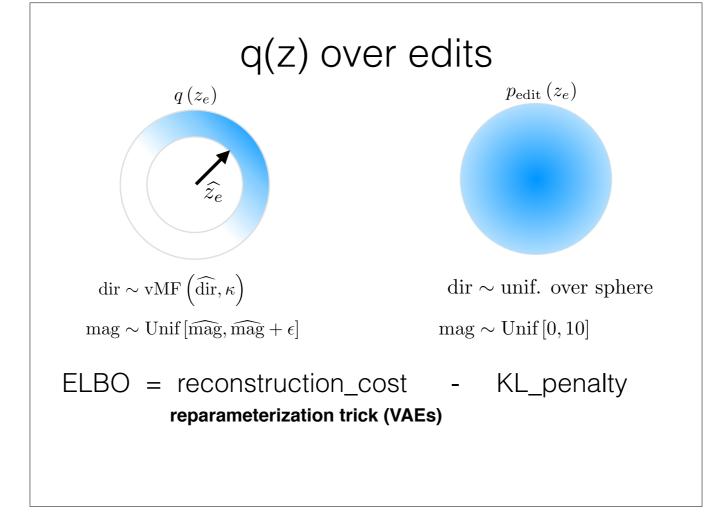
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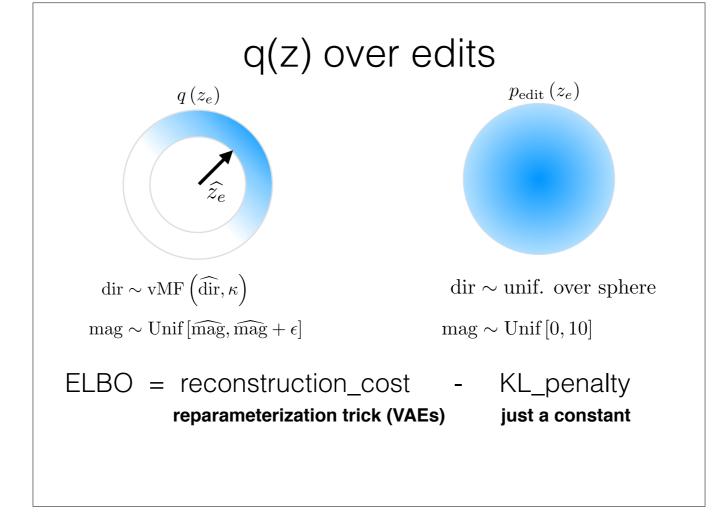
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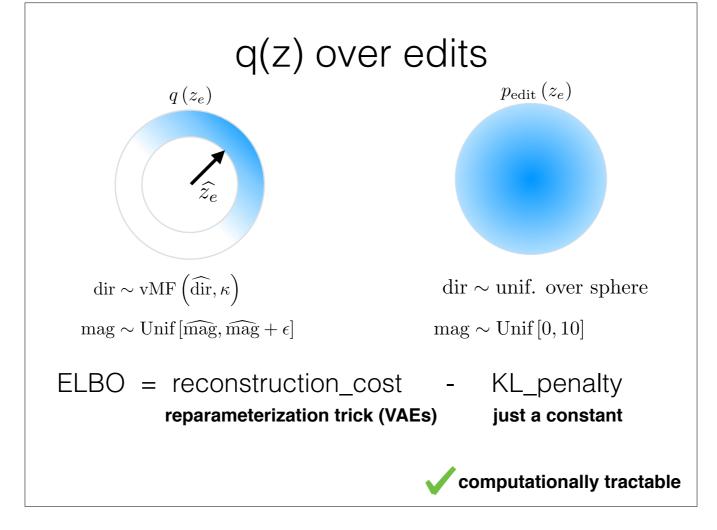
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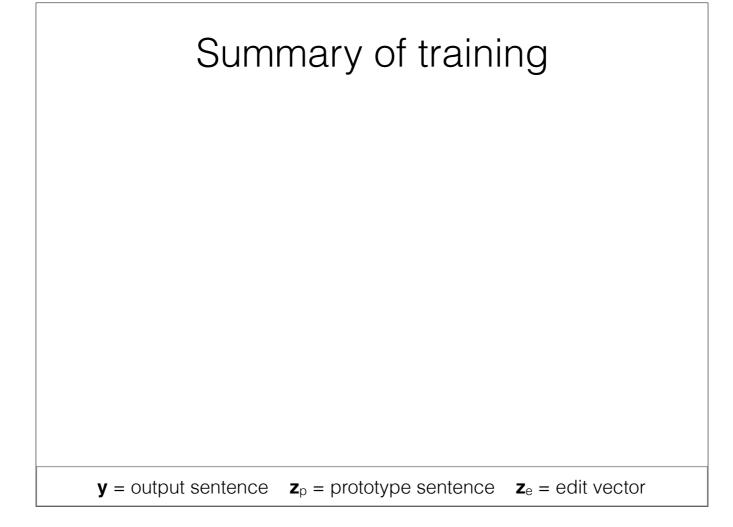
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• Build a training set of lexically similar sentence pairs (**z**<sub>p</sub>, **y**)

 $\mathbf{y} = \text{output sentence}$   $\mathbf{z}_p = \text{prototype sentence}$   $\mathbf{z}_e = \text{edit vector}$ 

- Build a training set of lexically similar sentence pairs (**z**<sub>p</sub>, **y**)
- For each pair of sentences  $(\mathbf{z}_p, \mathbf{y})$

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- Build a training set of lexically similar sentence pairs  $(\boldsymbol{z}_{\text{p}},\,\boldsymbol{y})$
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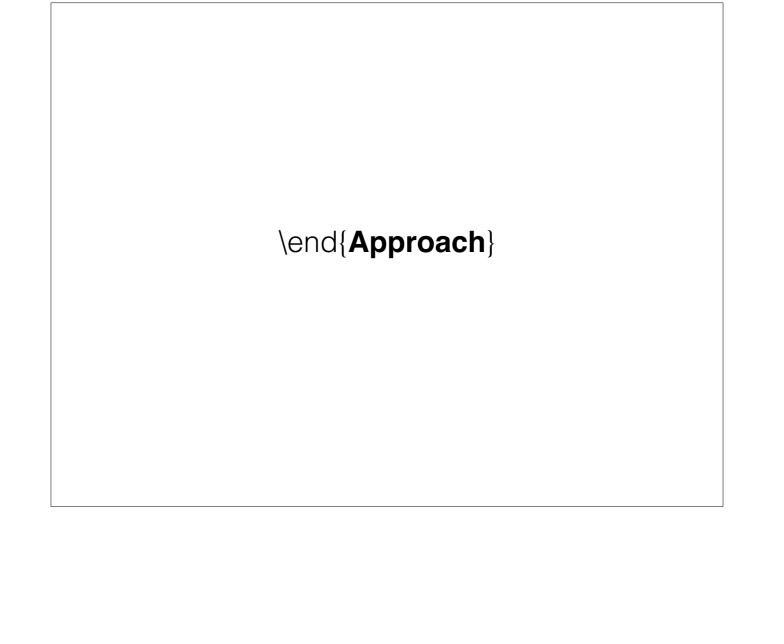
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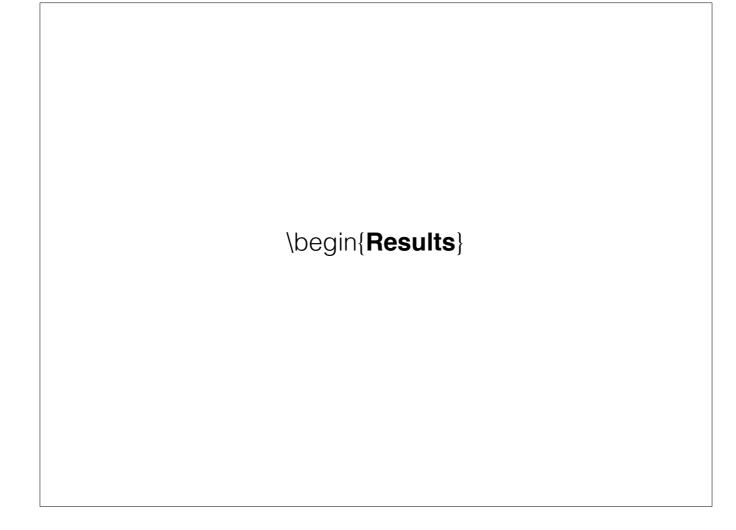
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  - 5. update q(z<sub>e</sub>)

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Now we can see how this stuff actually works.

i had the fried whitefish taco which	i had the <unk> and the fried car-</unk>
was decent, but i've had much bet-	nitas tacos, it was pretty tasty, but
ter.	i've had better.
"hash browns" are unseasoned,	the hash browns were crispy on
frozen potato shreds burnt to a	the outside, but still the taste was
crisp on the outside and mushy on	missing.
the inside.	
i'm not sure what is preventing me	i'm currently giving <cardinal></cardinal>
from giving it <cardinal> stars,</cardinal>	stars for the service alone.
but i probably should.	
quick place to grab light and tasty	this place is good and a quick place
teriyaki.	to grab a tasty sandwich.
sad part is we've been there before	i've been here several times and al-
and its been good.	ways have a good time.

Before diving into the results, I want to show you some raw generations from the model.

Note that these are just for randomly sampled edit vectors.

Later on, we will consider edit vectors that we directly control, which allow for more precise editing behavior.

#### Prototype $\mathbf{z}_p$

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#### **Prototype z**<sub>p</sub> (random edit vector) Output y i had the fried whitefish taco which i had the <unk> and the fried carwas decent, but i've had much betnitas tacos, it was pretty tasty, but i've had better. ter. "hash browns" are unseasoned, the hash browns were crispy on frozen potato shreds burnt to a the outside, but still the taste was crisp on the outside and mushy on missing. the inside. i'm not sure what is preventing me i'm currently giving <cardinal> from giving it <cardinal> stars, stars for the service alone. but i probably should. quick place to grab light and tasty this place is good and a quick place to grab a tasty sandwich. teriyaki. sad part is we've been there before i've been here several times and al-

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### Overview of results

- More diverse generations
- Higher quality generations
- Better perplexity (BillionWord, Yelp reviews)
- Edits are semantically meaningful
  - preserve semantic similarity
  - can be used to perform sentence-level analogies

Here is the summary of the results I showed you earlier

We're going to start with semantics, because that is most interesting.

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 $\mathbf{y} = \text{output sentence}$   $\mathbf{z}_p = \text{prototype sentence}$   $\mathbf{z}_e = \text{edit vector}$ 

As described earlier, one sub-component of our model is an editor, which transforms one sentence into another.

A cool feature is that we can actually plug in any edit vector we want, and control the direction of the edits.

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$$\uparrow$$
 plug in your own edit vector!

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semantic control

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small magnitude edit vector should cause small changes

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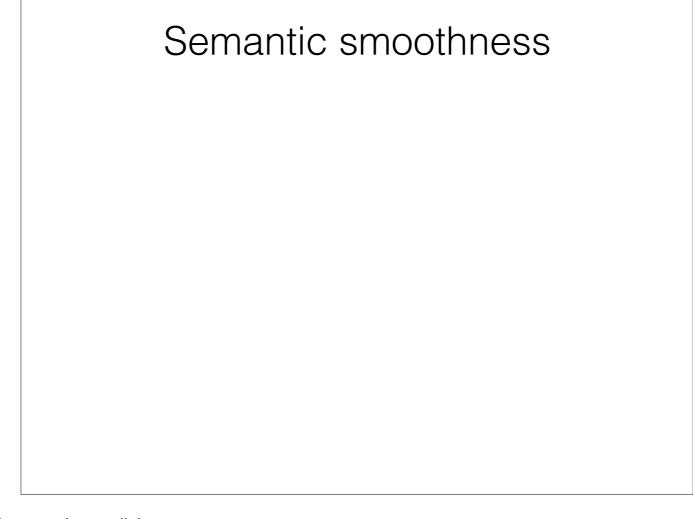
#### consistent edit behavior:

apply the same edit vector to different sentences should cause semantically analogous edits

 $\mathbf{y} = \text{output sentence}$   $\mathbf{z}_0 = \text{prototype sentence}$   $\mathbf{z}_e = \text{edit vector}$ 

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We'll start with some prototype sentence zp

Then apply some random edit vector ze, to get a new sentence And then rinse and repeat

random walk in sentence space

What we will do is use the editor to take a random walk in sentence space.

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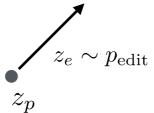
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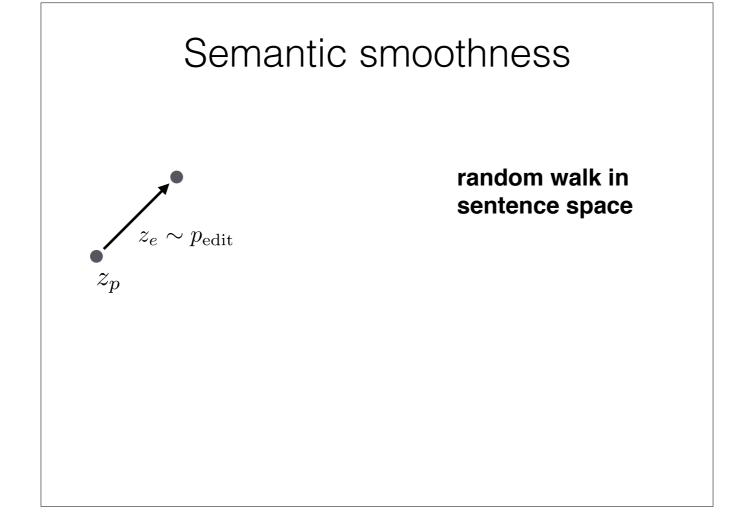


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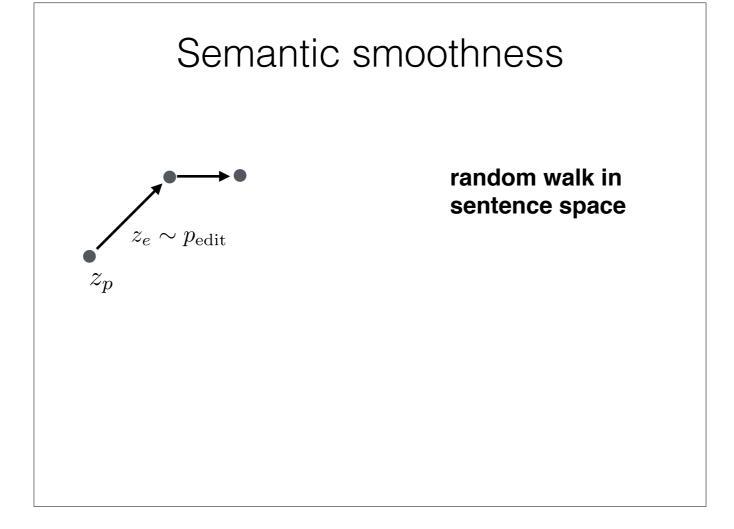
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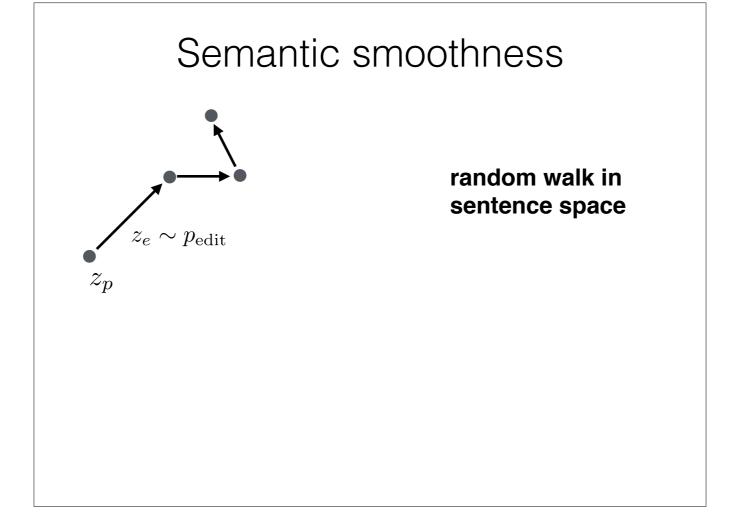
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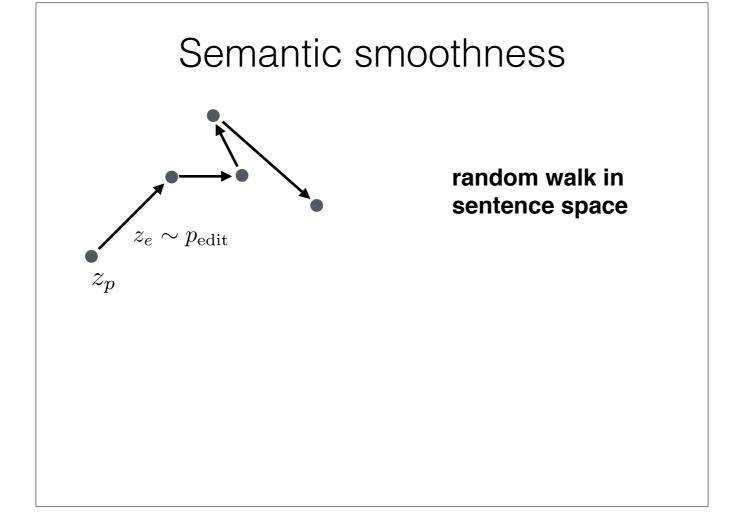
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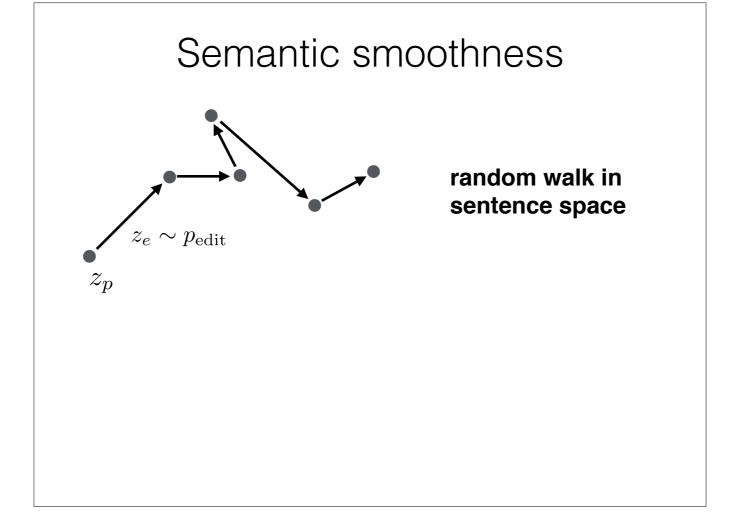
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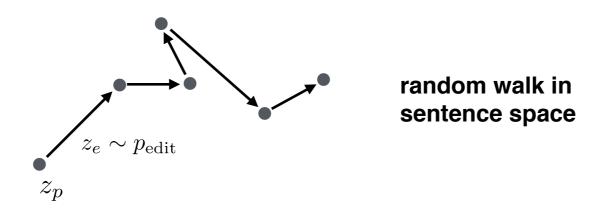
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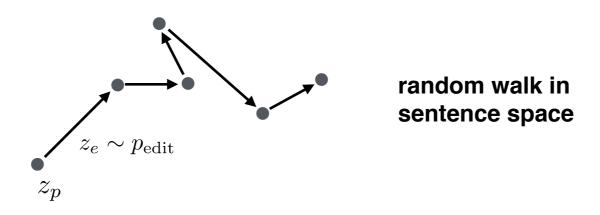


• ice cream was one of the best i've ever tried .

What we will do is use the editor to take a random walk in sentence space.

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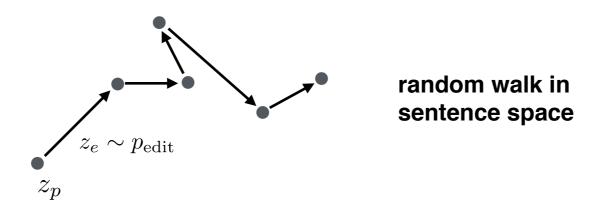


- ice cream was one of the best i've ever tried .
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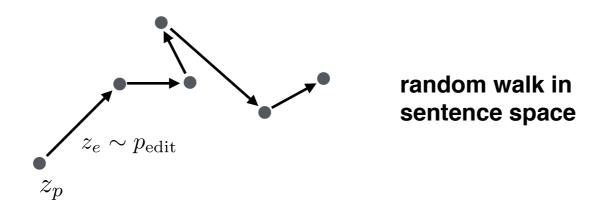


- ice cream was one of the best i've ever tried .
- some of the best ice cream we've ever had .
- just had the best ice cream i've ever had !

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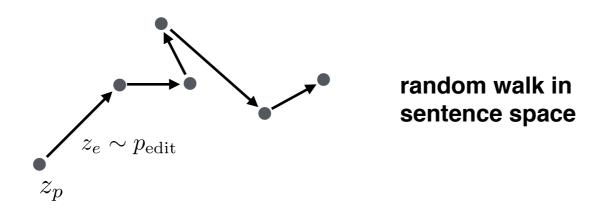


- ice cream was one of the best i've ever tried .
- some of the best ice cream we've ever had .
- just had the best ice cream i've ever had !
- some of the best pizza i've ever tasted !

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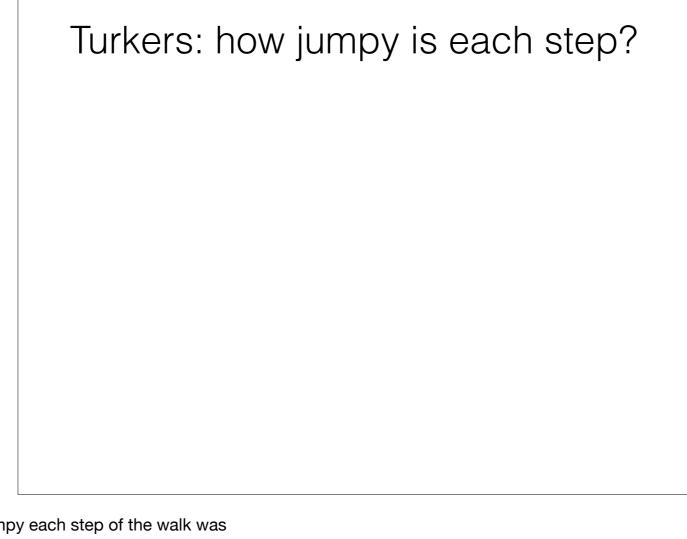


- ice cream was one of the best i've ever tried .
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- just had the best ice cream i've ever had !
- some of the best pizza i've ever tasted !
- that was some of the best pizza i've had in the area .

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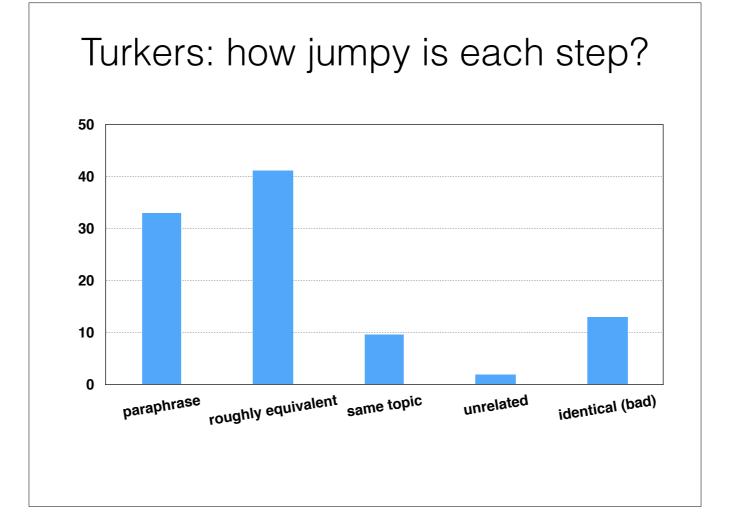


We then asked Turkers to rate how jumpy each step of the walk was

We got the following interesting result.

Over 30% of our steps resulted in actual paraphrases, while 40% were roughly equivalent.

Sometimes the editor did not do anything at all, which is the bad "identical" category on the right

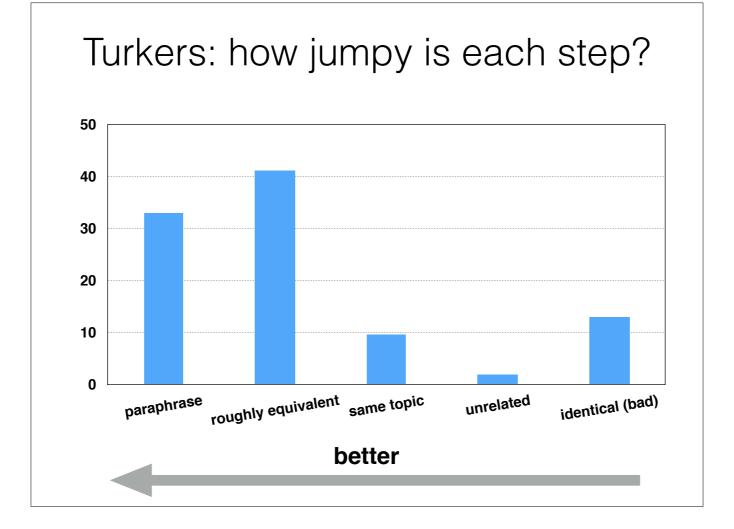


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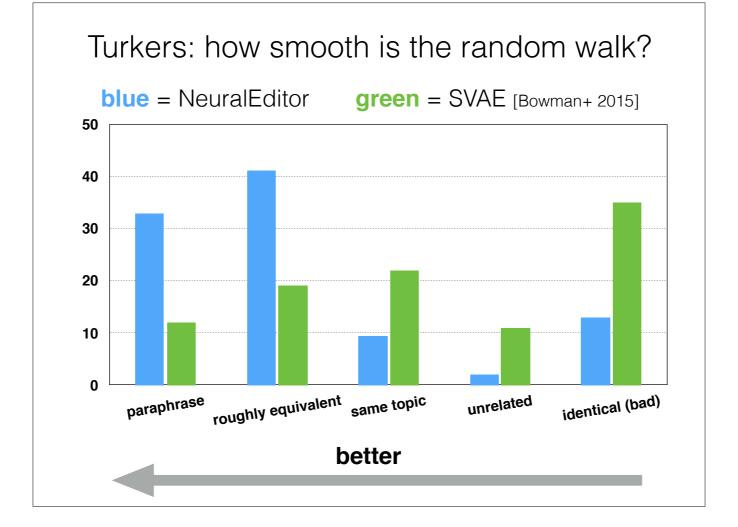


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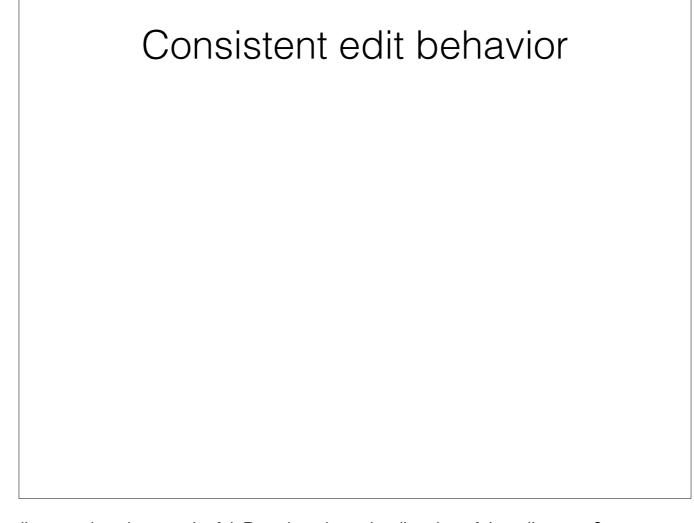
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We also compared our approach to the sentence VAE, another common approach for embedding sentences into vector space

In this comparison, we really tried hard to tune the SVAE to make small steps

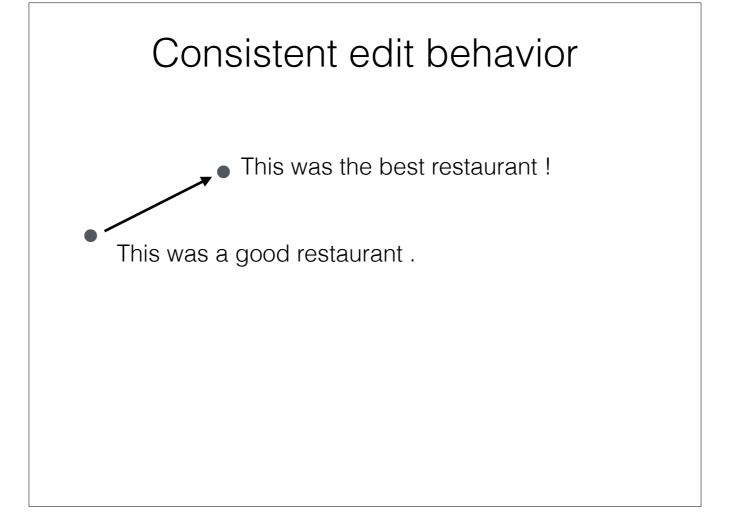
But essentially, if we made the step size large, we would always end up with "unrelated" or "same topic" at best, and if we made the step size too small, we would always end up with "identical"

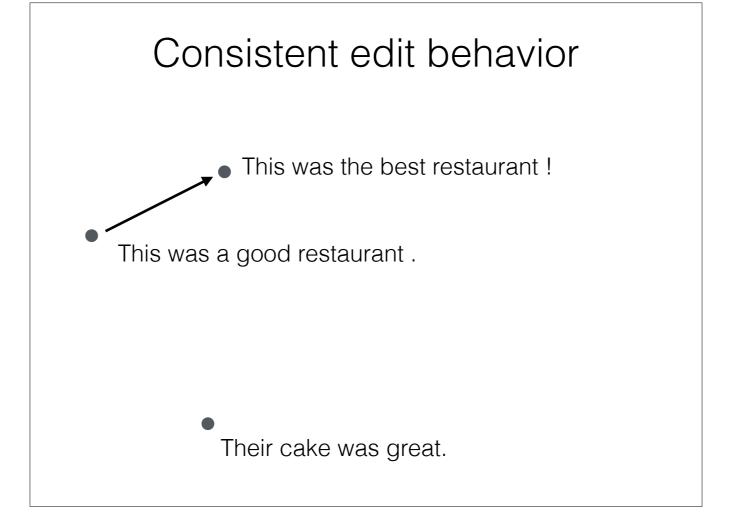


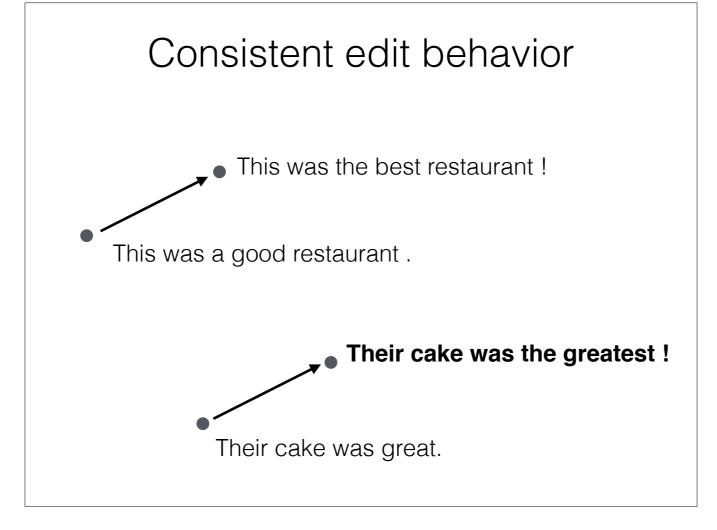
## Consistent edit behavior

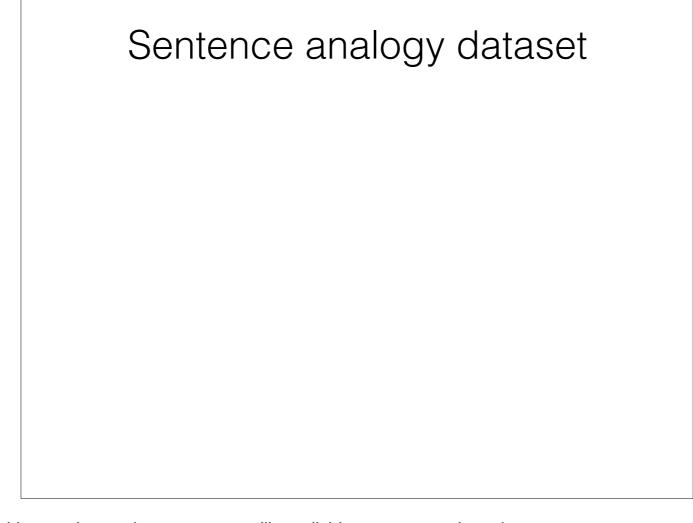
This was a good restaurant.

So we know that the magnitude of the edit vector is quite meaningful. But what about the direction of the edit vector?









Unfortunately, when we started doing this experiment, there was no readily available sentence analogy dataset.

So, we induced a sentence dataset from lexical analogies. Here's how that works.

We start with pairs of words that are analogous.

We then looked in the Yelp review corpus for pairs of sentences that were different by only those word pairs (allowing for reordering and stopwords) We found at least 10 sentence pairs for each category of analogy

# Sentence analogy dataset

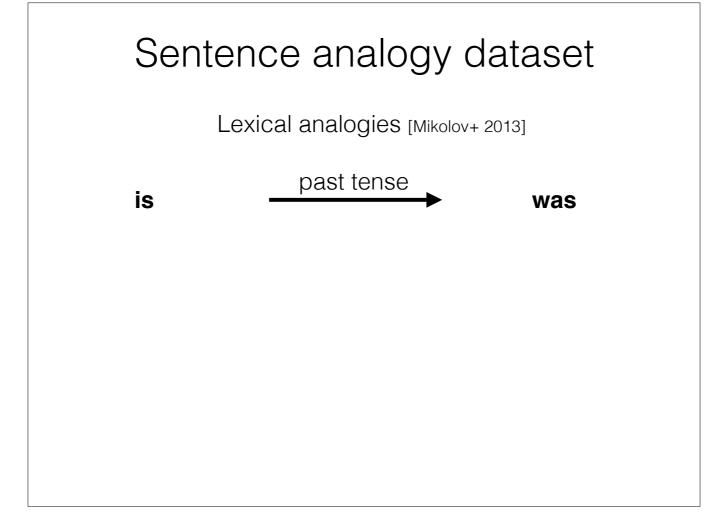
Lexical analogies [Mikolov+ 2013]

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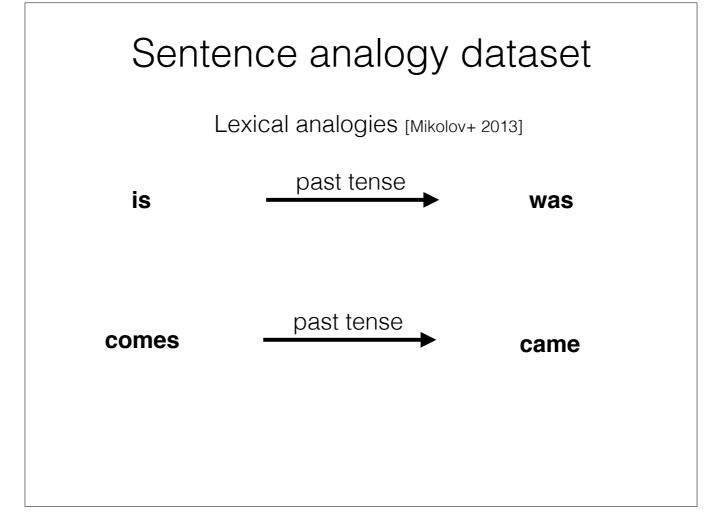


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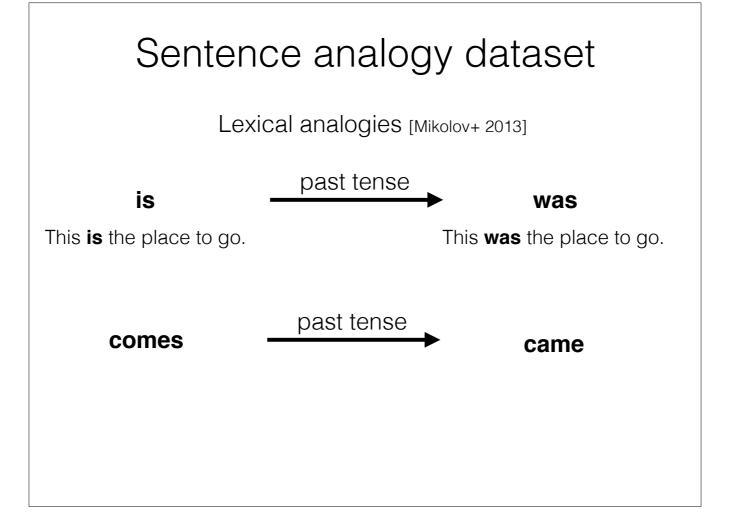
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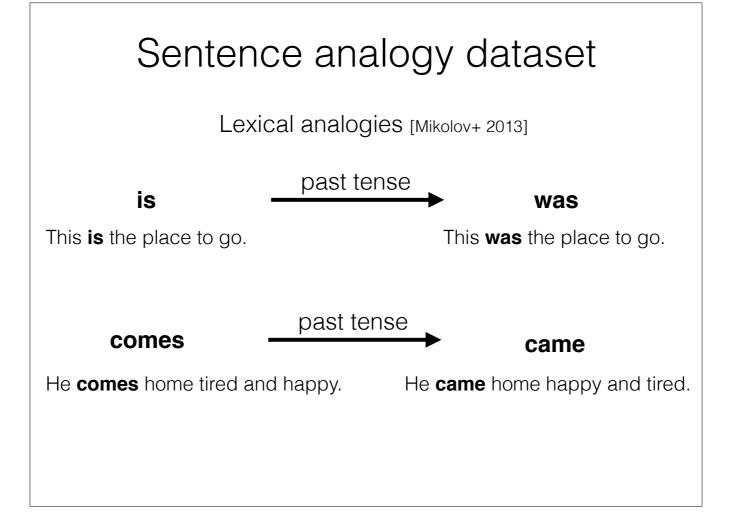
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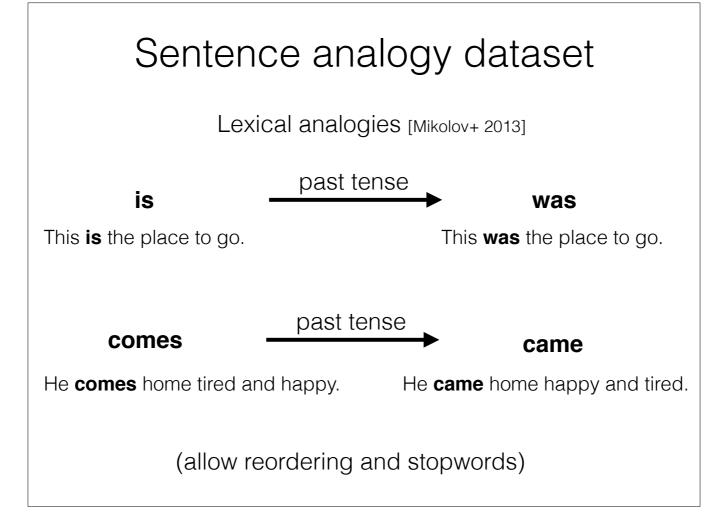
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# Sentence analogy dataset

This **is** the place to go. This **was** the place to go.

He **comes** home tired and happy. He **came** home happy and tired.

Then we completely throw away the word pairs.

Given a seed pair, we use our model to compute the edit vector between the two sentences.

We then go to a new sentence, call it the prototype, and apply the SAME edit vector.

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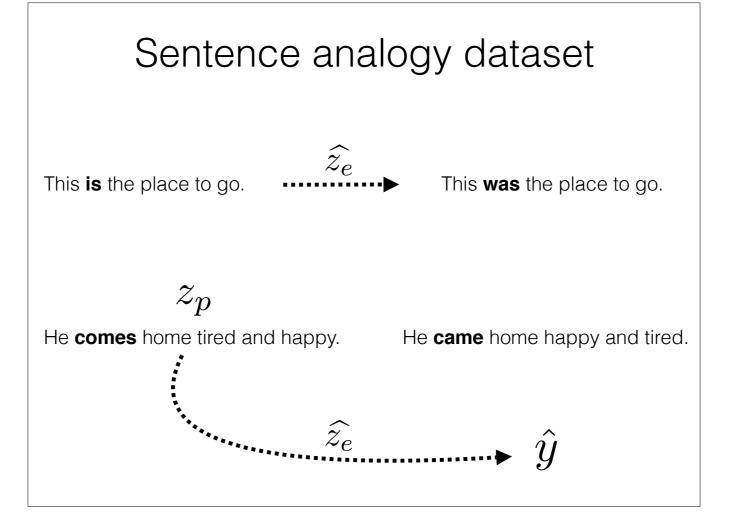
 $z_p$ 

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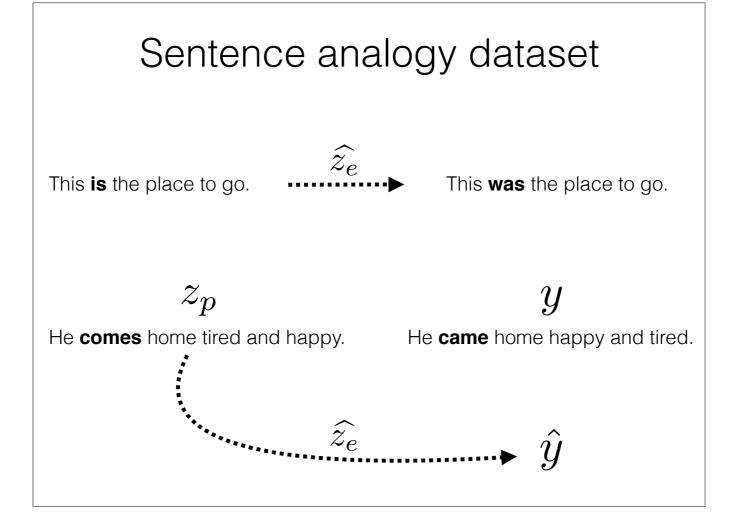
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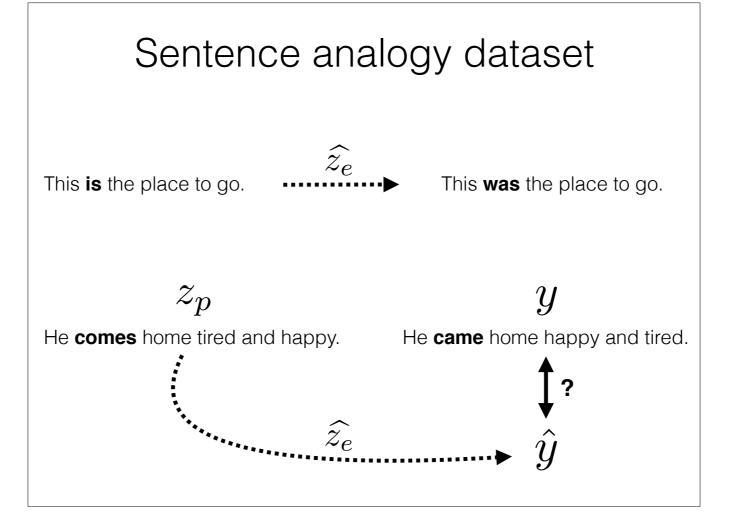
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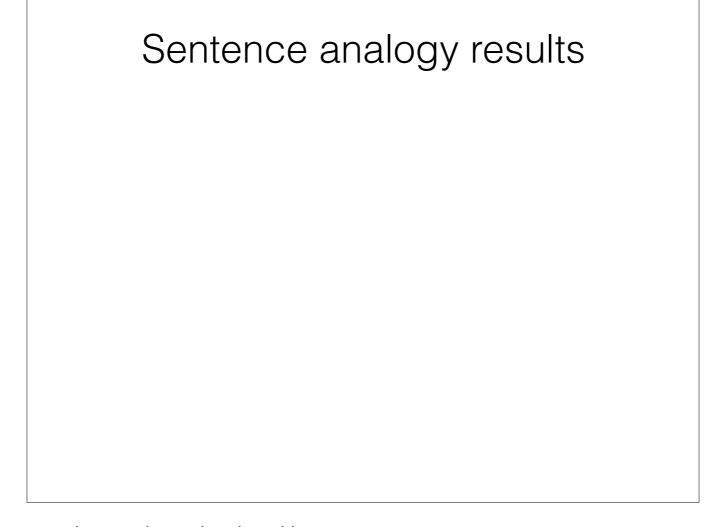
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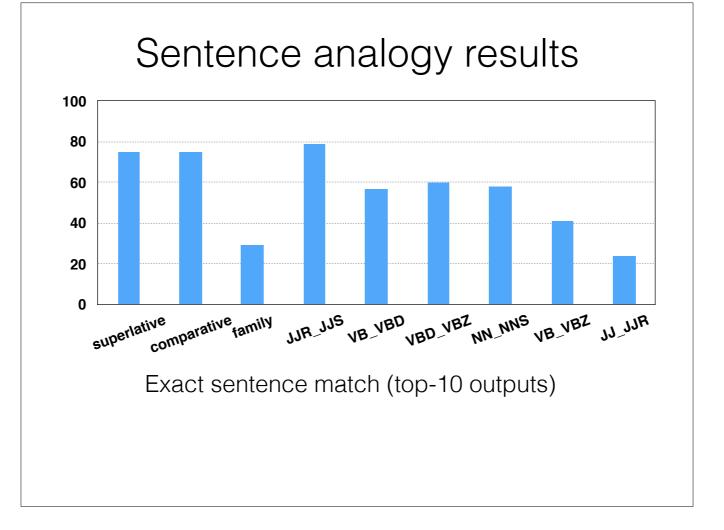
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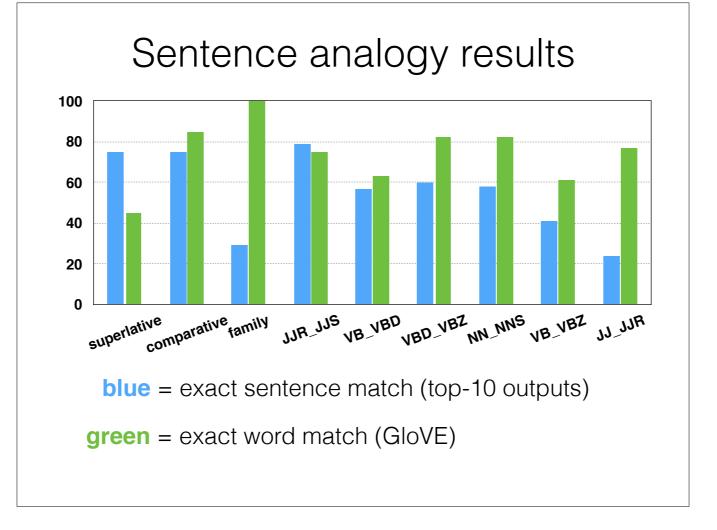
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Interestingly, you can compare our sentence-level analogy performance

to word-level analogy performance by GloVe vectors

Note: these really aren't strictly comparable. GloVe only needs to predict a word. We need to predict an entire sentence.

### Results

- More diverse generations
- Higher quality generations
- Better perplexity (BillionWord, Yelp reviews)
- **✓** Edits are semantically meaningful
  - preserve semantic similarity
  - can be used to perform sentence-level analogies

That concludes the investigation of semantics.

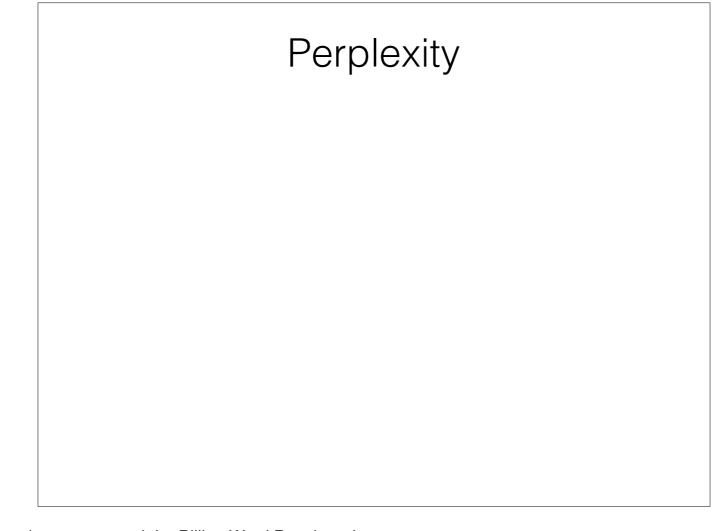
But for those of you who don't care about semantics, we also have more standard perplexity results

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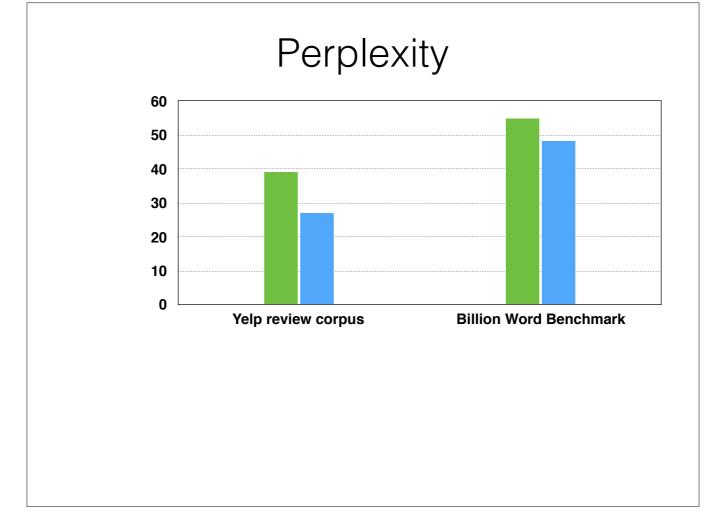
The NeuralEditor has extra parameters in the encoder, but the same flexibility in the decoder.

Furthermore, we backoff to a standard NLM, because sometimes we encounter a sentence that simply doesn't look like anything in the training corpus.

In both cases, we get a nice drop in perplexity.

Yelp is less surprising, because people tend to say the same kinds of things over and over again.

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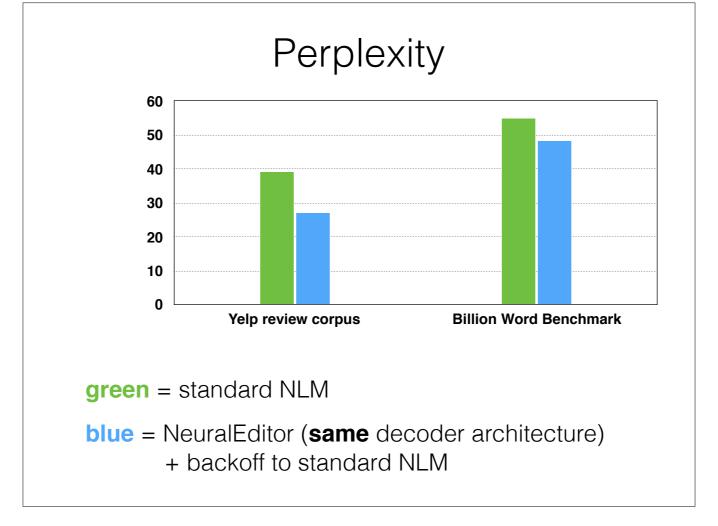
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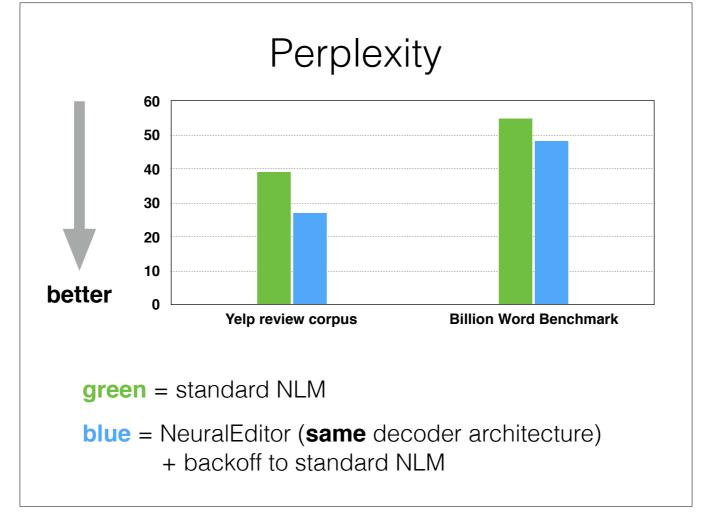
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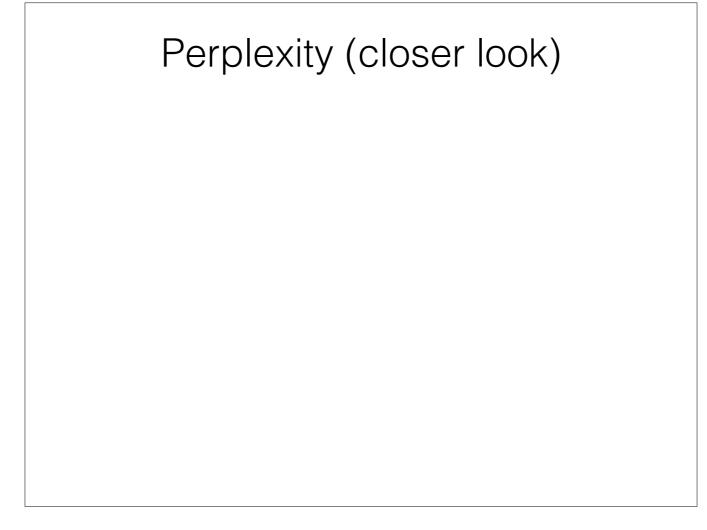
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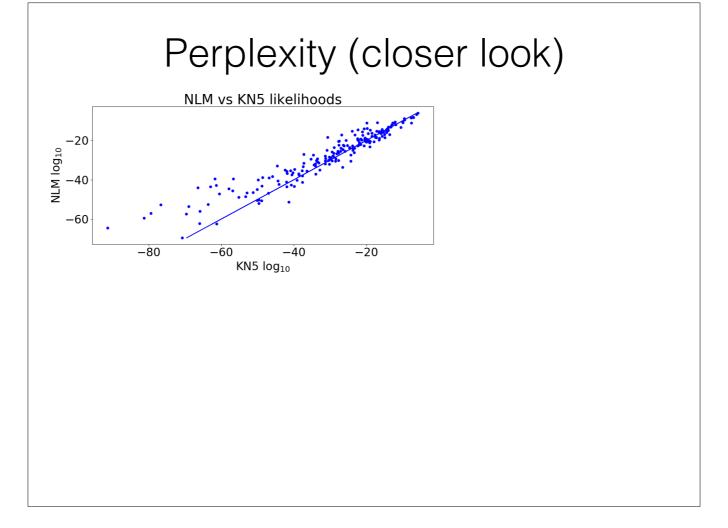
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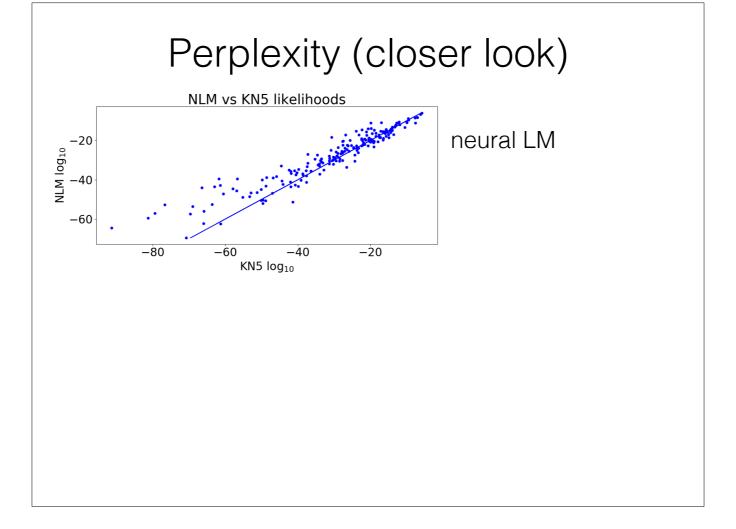
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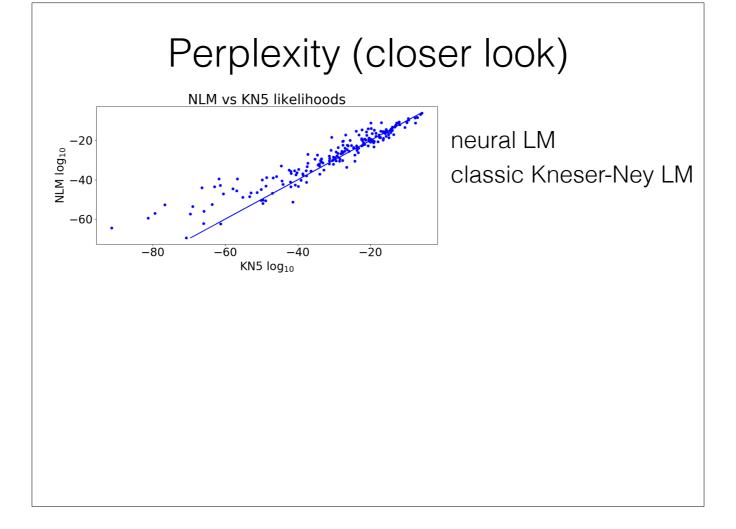
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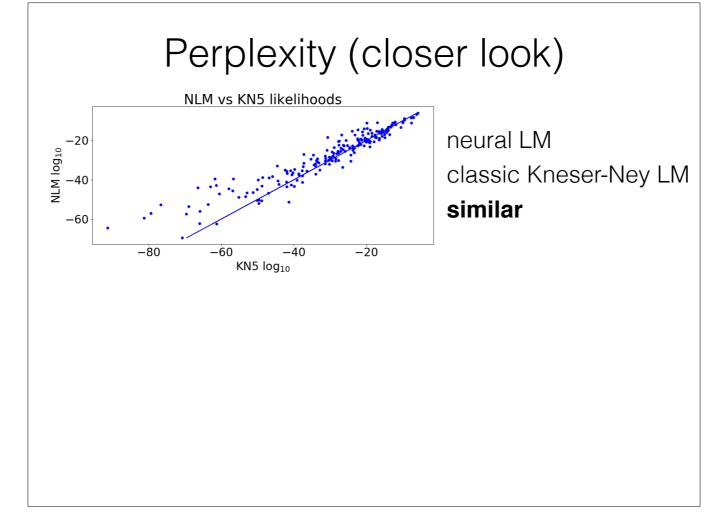
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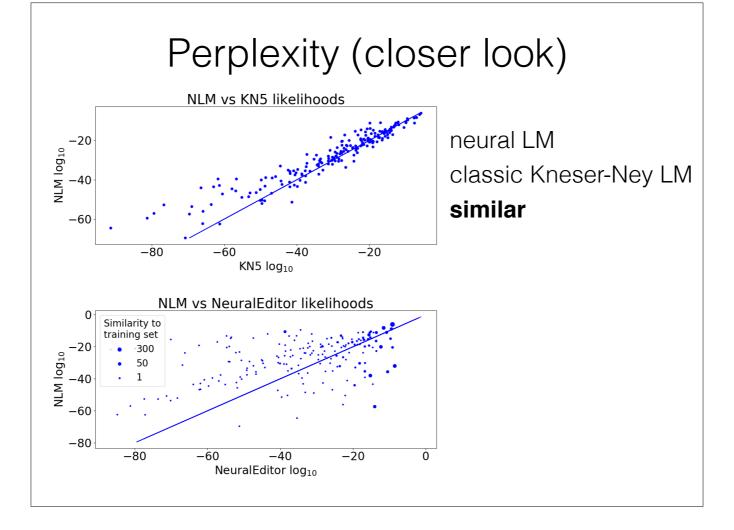


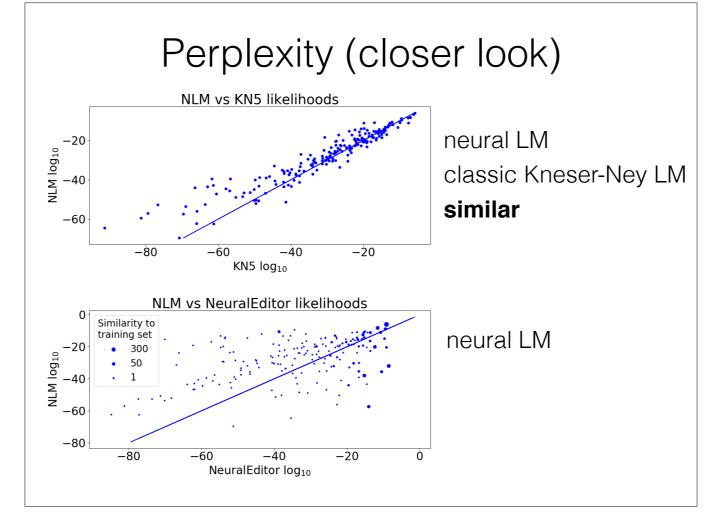


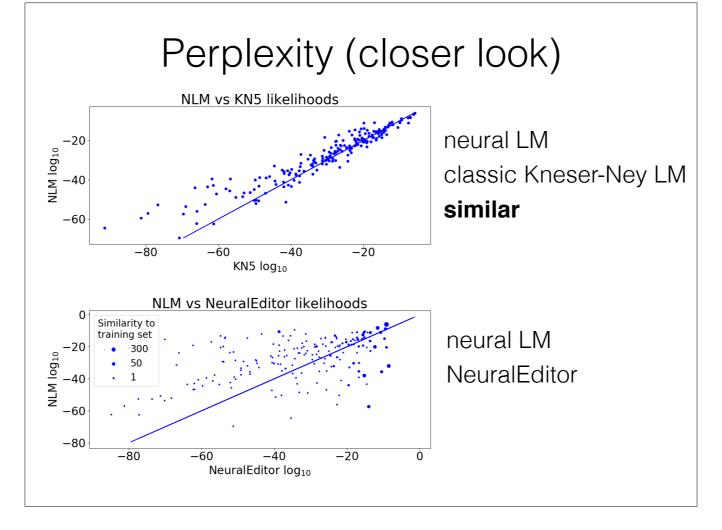


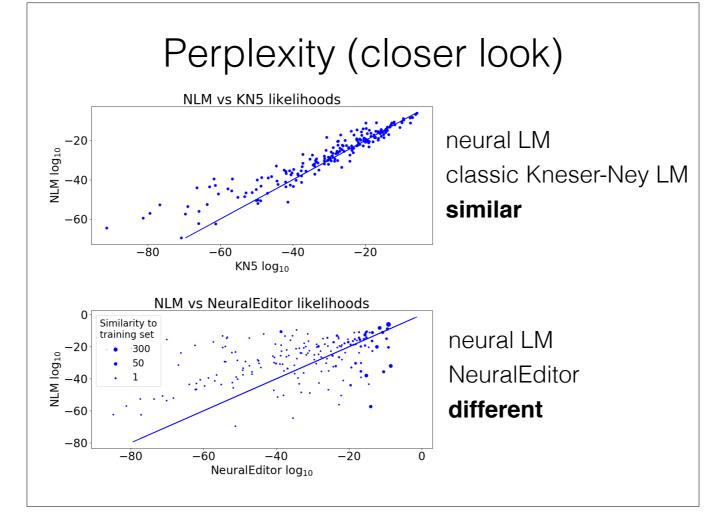












## Results

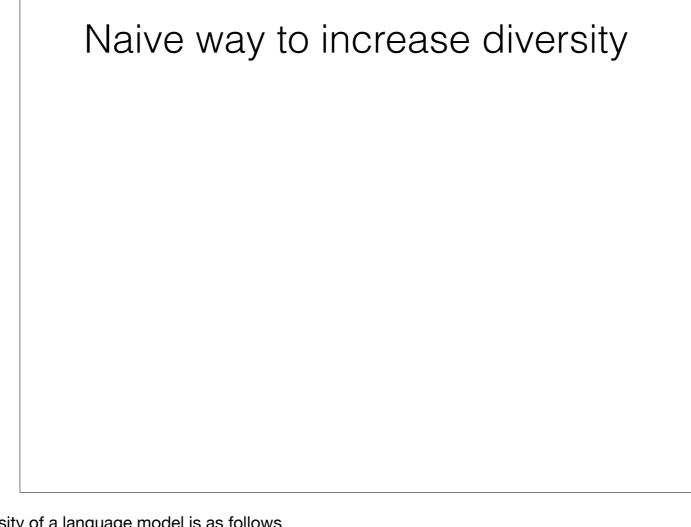
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Since each time step produces a softmax distribution over words, we can raise the temperature of that softmax, to increase the diversity of the samples

# Naive way to increase diversity

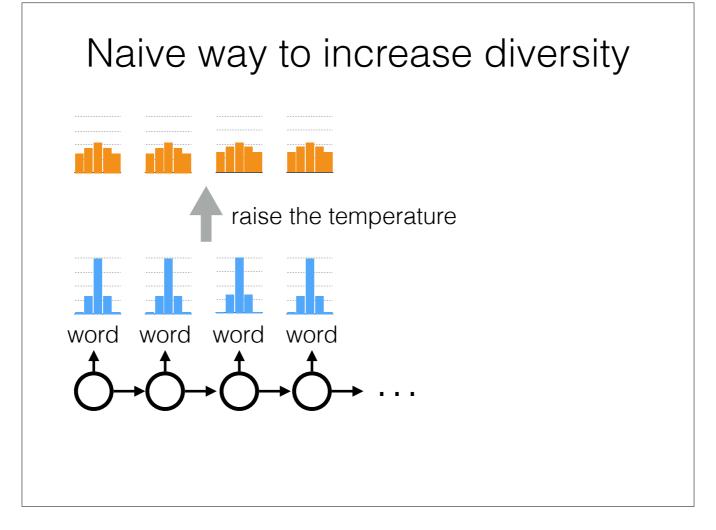
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# Naive way to increase diversity word word word word word word word word word word

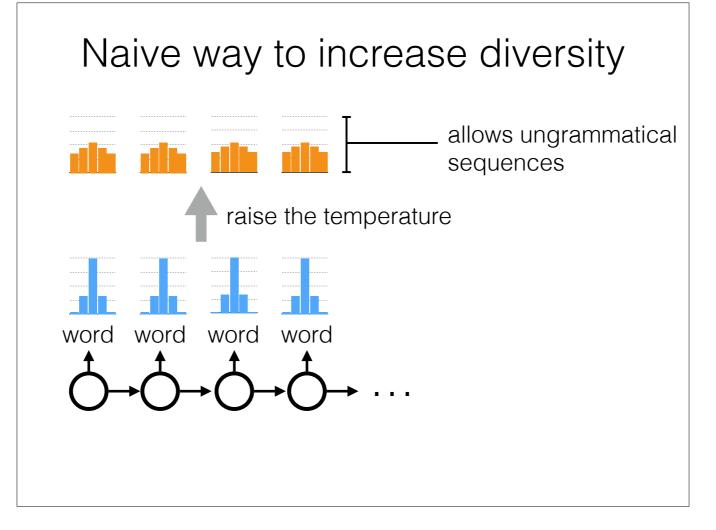
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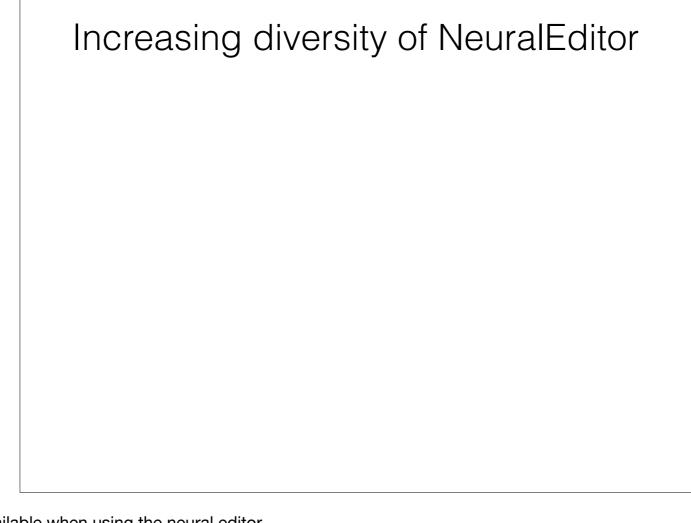
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In contrast, we have other options available when using the neural editor

There are actually two ways to increase diversity

First, we can control the diversity of our prototypes. If we simply make our prototype set more diverse, we can increase diversity without even touching the editor.

Second, we can still raise the temperature of the editor. Fortunately, even this still turns out to be quite grammatical.

Unlike the full neural language model, the editor is only modeling minor variation around one sentence, so the distribution is much easier to represent.



 $z_p \sim p_{\rm proto}$ 

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## Increasing diversity of NeuralEditor

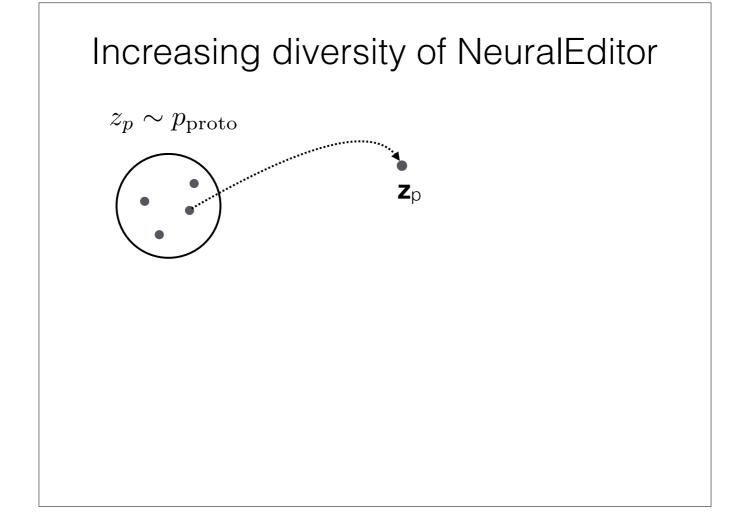
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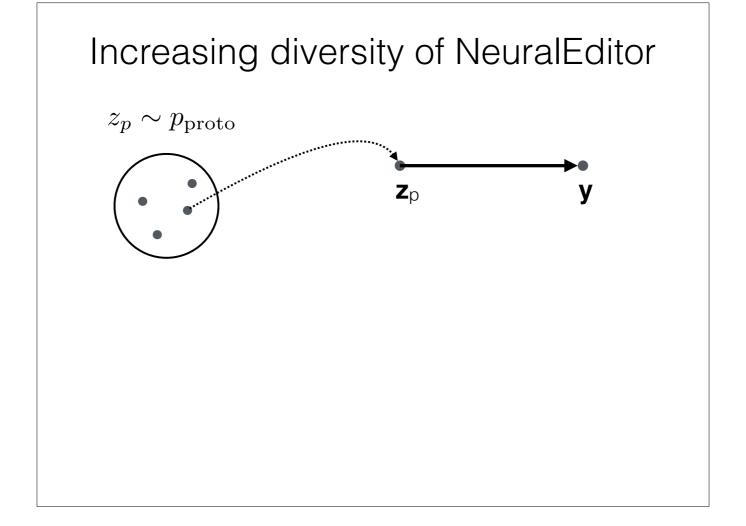
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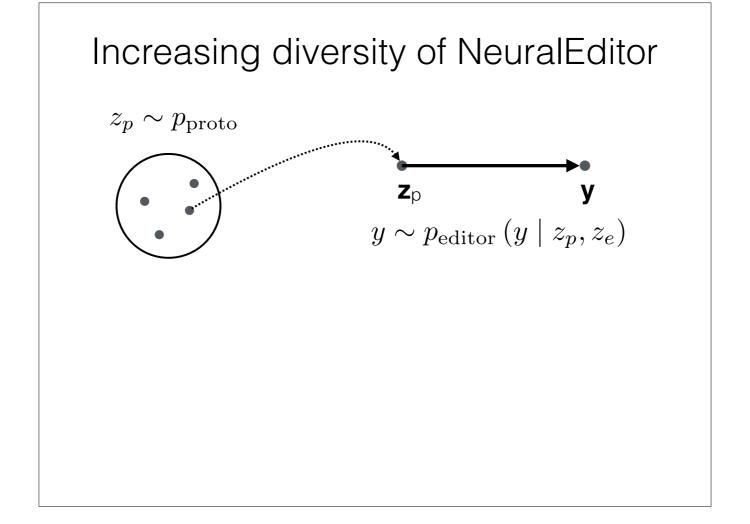
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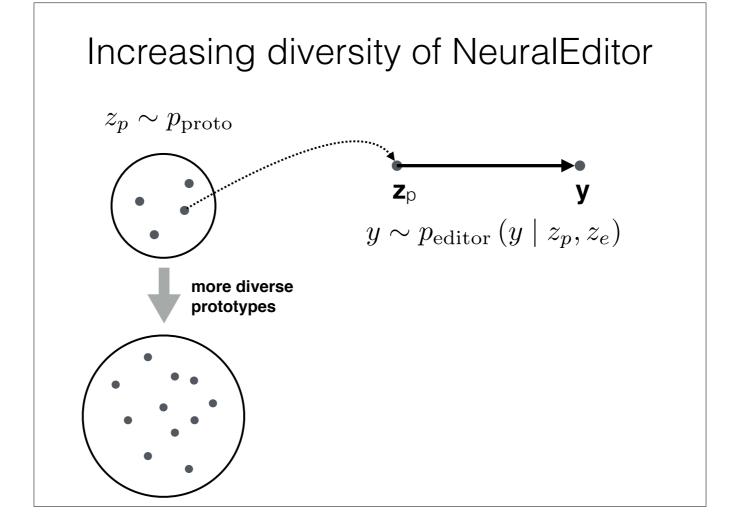
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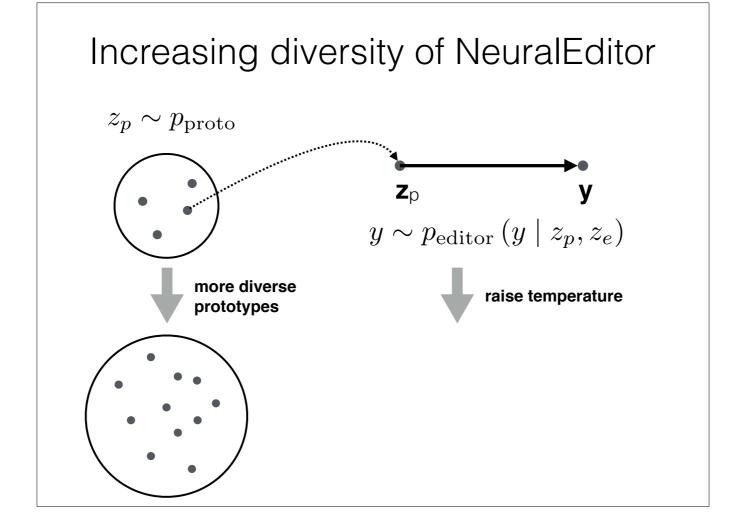
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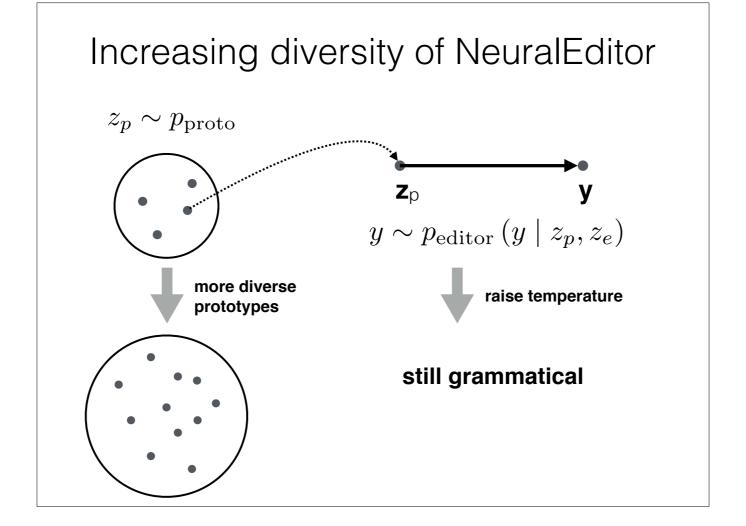
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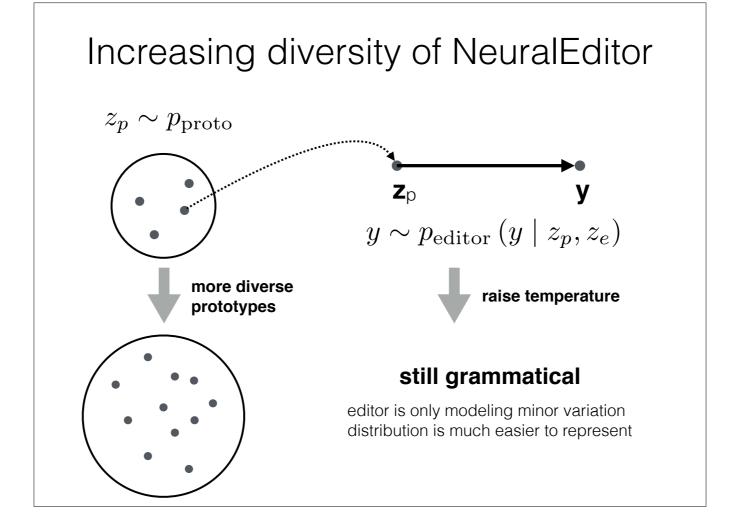
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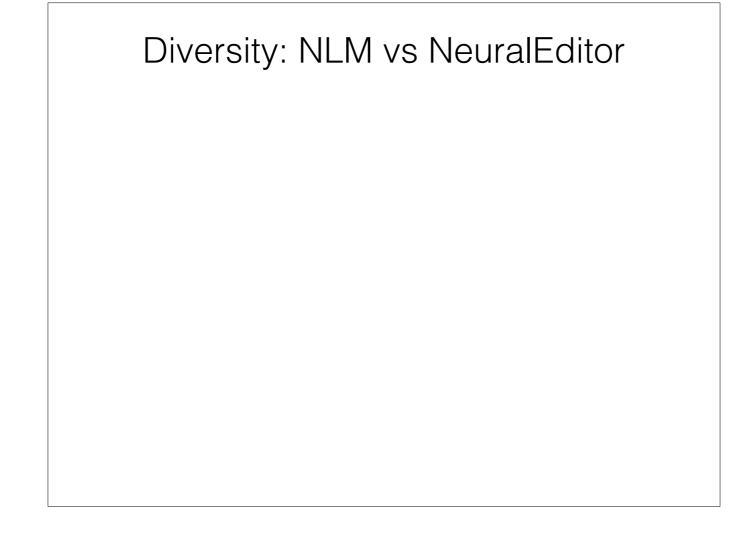
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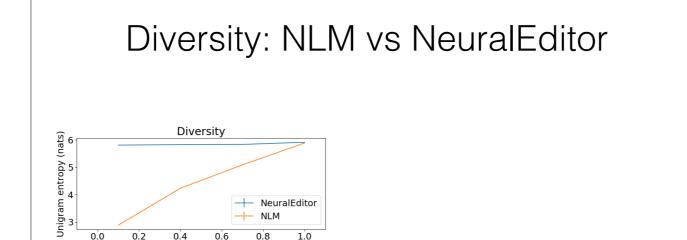
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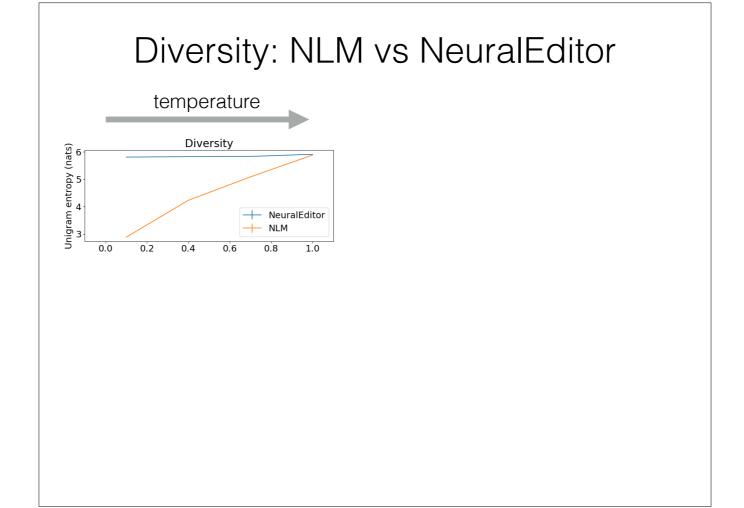
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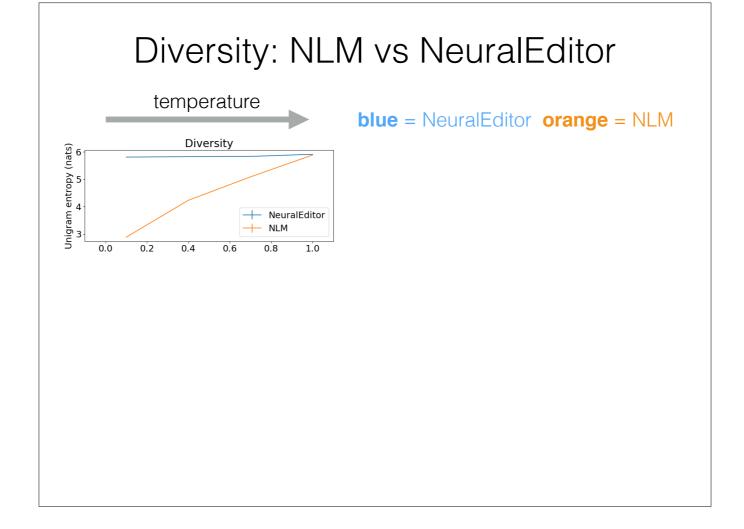




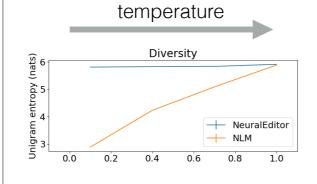
0.2

Let's look at the results empirically.





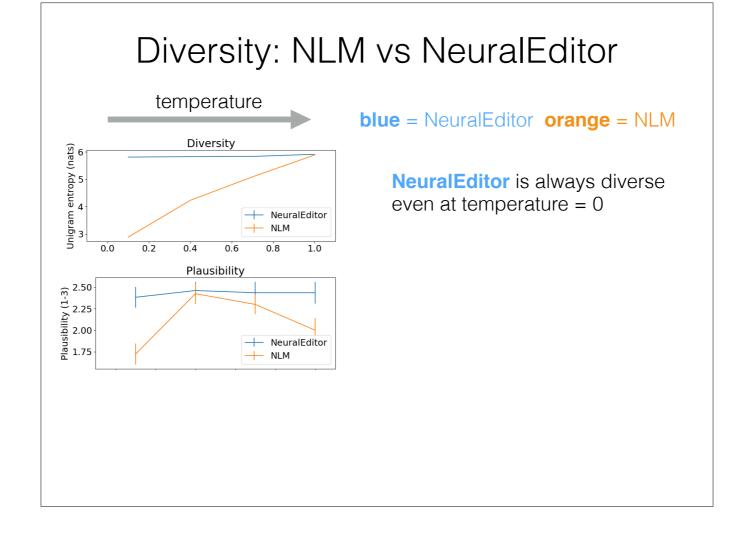
## Diversity: NLM vs NeuralEditor

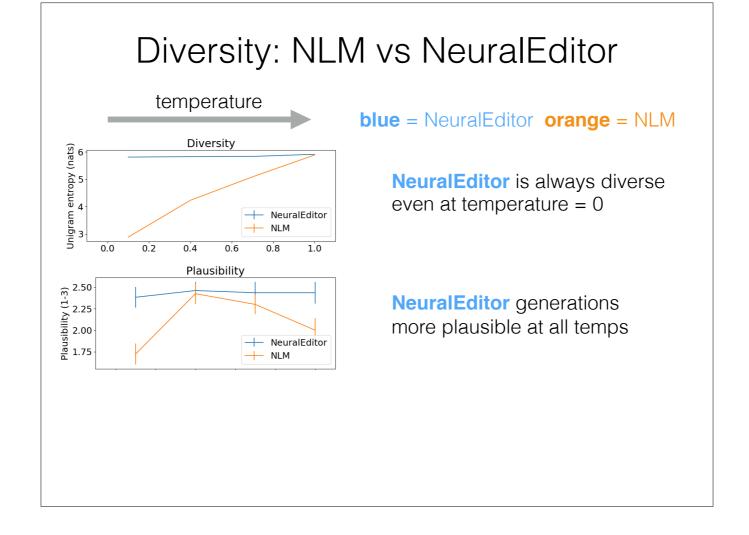


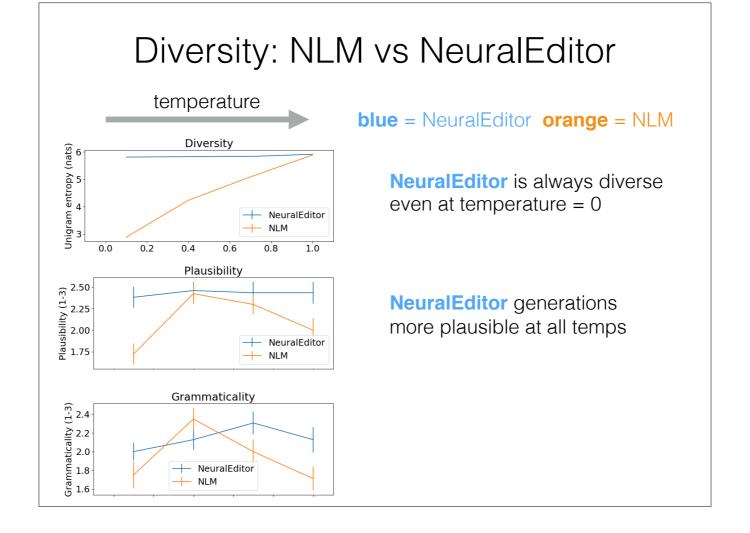
**blue** = NeuralEditor **orange** = NLM

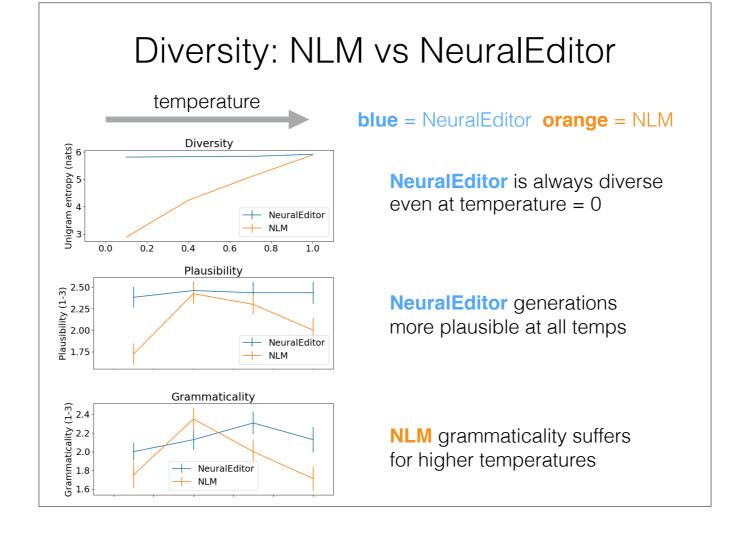
**NeuralEditor** is always diverse even at temperature = 0

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